

ILLINOIS REGISTER

---

ILLINOIS GAMING BOARD

NOTICE OF EMERGENCY AMENDMENT

TITLE 86: REVENUE  
CHAPTER IV: ILLINOIS GAMING BOARD

PART 3000  
RIVERBOAT GAMBLING

SUBPART A: GENERAL PROVISIONS

Section	
3000.100	Definitions
3000.101	Invalidity
3000.102	Public Inquiries
3000.103	Organization of the Illinois Gaming Board
3000.104	Rulemaking Procedures
3000.105	Board Meetings
3000.110	Disciplinary Actions
3000.115	Records Retention
3000.120	Place to Submit Materials
3000.130	No Opinion or Approval of the Board
3000.140	Duty to Disclose Changes in Information
3000.141	Applicant/Licensee Disclosure of Agents
3000.150	Owner's and Supplier's Duty to Investigate
3000.155	Investigatory Proceedings
3000.160	Duty to Report Misconduct
3000.161	Communication with Other Agencies
3000.165	Participation in Games by Owners, Directors, Officers, Key Persons or Gaming Employees
3000.170	Fair Market Value of Contracts
3000.180	Weapons on Riverboat
3000.190	<u>Ethical Conduct</u>
	<u>EMERGENCY</u>

SUBPART B: LICENSES

Section	
3000.200	Classification of Licenses
3000.210	Fees and Bonds
3000.220	Applications
3000.221	Other Required Forms
3000.222	Identification and Requirements of Key Persons

ILLINOIS REGISTER

---

ILLINOIS GAMING BOARD

NOTICE OF EMERGENCY AMENDMENT

3000.223	Disclosure of Ownership and Control
3000.224	Economic Disassociation
3000.225	Business Entity and Personal Disclosure Filings
3000.230	Owner's Licenses
3000.231	Distributions
3000.232	Undue Economic Concentration
3000.234	Acquisition of Ownership Interest By Institutional Investors
3000.235	Transferability of Ownership Interest
3000.236	Owner's License Renewal
3000.237	Renewed Owner's Licenses, Term and Restrictions
3000.238	Appointment of Receiver for an Owner's License
3000.240	Supplier's Licenses
3000.241	Renewal of Supplier's License
3000.242	Amendment to Supplier's Product List
3000.243	Bankruptcy or Change in Ownership of Supplier
3000.244	Surrender of Supplier's License
3000.245	Occupational Licenses
3000.250	Transferability of Licenses
3000.260	Waiver of Requirements
3000.270	Certification and Registration of Electronic Gaming Devices
3000.271	Analysis of Questioned Electronic Gaming Devices
3000.272	Certification of Voucher Systems
3000.280	Registration of All Gaming Devices
3000.281	Transfer of Registration (Repealed)
3000.282	Seizure of Gaming Devices (Repealed)
3000.283	Analysis of Questioned Electronic Gaming Devices (Repealed)
3000.284	Disposal of Gaming Devices
3000.285	Certification and Registration of Voucher Validation Terminals
3000.286	Contracting Goals for Owners Licensees
3000.287	Independent Outside Testing Laboratories
EMERGENCY	
3000.288	Minimum Duties for Independent Outside Testing Laboratories
EMERGENCY	

SUBPART C: OWNER'S INTERNAL CONTROL SYSTEM

Section	
3000.300	General Requirements – Internal Control System
3000.310	Approval of Internal Control System
3000.320	Minimum Standards for Internal Control Systems

ILLINOIS REGISTER

---

ILLINOIS GAMING BOARD

NOTICE OF EMERGENCY AMENDMENT

- 3000.330 Review of Procedures (Repealed)
- 3000.340 Operating Procedures (Repealed)
- 3000.350 Modifications (Repealed)

SUBPART D: HEARINGS ON NOTICE OF DENIAL,  
RESTRICTION OF LICENSE, PLACEMENT ON BOARD EXCLUSION LIST OR  
REMOVAL FROM BOARD EXCLUSION LIST OR SELF-EXCLUSION LIST

- Section
- 3000.400 Coverage of Subpart
- 3000.405 Requests for Hearings
- 3000.410 Appearances
- 3000.415 Discovery
- 3000.420 Motions for Summary Judgment
- 3000.424 Subpoena of Witnesses
- 3000.425 Proceedings
- 3000.430 Evidence
- 3000.431 Prohibition on Ex Parte Communication
- 3000.435 Sanctions and Penalties
- 3000.440 Transmittal of Record and Recommendation to the Board
- 3000.445 Status of Applicant for Licensure or Transfer Upon Filing Request for Hearing

SUBPART E: CRUISING

- Section
- 3000.500 Riverboat Cruises
- 3000.510 Cancelled or Disrupted Cruises

SUBPART F: CONDUCT OF GAMING

- Section
- 3000.600 Wagering Only with Electronic Credits, Approved Chips, Tokens and Electronic Cards
- 3000.602 Disposition of Unauthorized Winnings
- 3000.605 Authorized Games
- 3000.606 Gaming Positions
- 3000.610 Publication of Rules and Payout Ratio for Live Gaming Devices
- 3000.614 Tournaments, Enhanced Payouts and Give-aways
- 3000.615 Payout Percentage for Electronic Gaming Devices
- 3000.616 Cashing-In
- 3000.620 Submission of Chips for Review and Approval

ILLINOIS REGISTER

---

ILLINOIS GAMING BOARD

NOTICE OF EMERGENCY AMENDMENT

3000.625	Chip Specifications
3000.630	Primary, Secondary and Reserve Sets of Gaming Chips
3000.631	Tournament Chips
3000.635	Issuance and Use of Tokens for Gaming
3000.636	Distribution of Coupons for Complimentary Chips, Tokens, Vouchers, Cash and Electronic Credits
3000.640	Exchange of Chips, Tokens, and Vouchers
3000.645	Receipt of Gaming Chips or Tokens from Manufacturer or Distributor
3000.650	Inventory of Chips
3000.655	Destruction of Chips, Tokens, and Vouchers
3000.660	Minimum Standards for Electronic Gaming Devices
3000.661	Minimum Standards for Voucher Systems
3000.665	Integrity of Electronic Gaming Devices
3000.666	Bill Validator Requirements
3000.667	Integrity of Voucher Systems
3000.670	Computer Monitoring Requirements of Electronic Gaming Devices
3000.671	Computer Monitoring Requirements of Voucher Systems

SUBPART G: EXCLUSION OF PERSONS

Section	
3000.700	Organization of Subpart
3000.701	Duty to Exclude
3000.705	Voluntary Self-Exclusion Policy (Repealed)
3000.710	Distribution and Availability of Board Exclusion List
3000.720	Criteria for Exclusion or Ejection and Placement on the Board Exclusion List
3000.725	Duty of Licensees
3000.730	Procedure for Entry of Names
3000.740	Petition for Removal from the Board Exclusion List
3000.745	Voluntary Self-Exclusion Policy
3000.750	Establishment of a Self-Exclusion List
3000.751	Locations to Execute Self-Exclusion Forms
3000.755	Information Required for Placement on the Self-Exclusion List
3000.756	Stipulated Sanctions for Failure to Adhere to Voluntary Self-Exclusion
3000.760	Distribution and Availability of Confidential Self-Exclusion List
3000.770	Duties of Licensees
3000.780	Request for Removal from the IGB Self-Exclusion List
3000.782	Required Information, Recommendations, Forms and Interviews
3000.785	Appeal of a Notice of Denial of Removal
3000.786	Duties of Owner Licensees to Persons Removed from the Self-Exclusion List

ILLINOIS REGISTER

---

ILLINOIS GAMING BOARD

NOTICE OF EMERGENCY AMENDMENT

3000.787 Placement on the Self-Exclusion List Following Removal  
3000.790 Duties of the Board

SUBPART H: SURVEILLANCE AND SECURITY

Section  
3000.800 Required Surveillance Equipment  
3000.810 Riverboat and Board Surveillance Room Requirements  
3000.820 Segregated Telephone Communication  
3000.830 Surveillance Logs  
3000.840 Storage and Retrieval  
3000.850 Dock Site Board Facility  
3000.860 Maintenance and Testing

SUBPART I: LIQUOR LICENSES

Section  
3000.900 Liquor Control Commission  
3000.910 Liquor Licenses  
3000.920 Disciplinary Action  
3000.930 Hours of Sale

SUBPART J: OWNERSHIP AND ACCOUNTING RECORDS AND PROCEDURES

Section  
3000.1000 Ownership Records  
3000.1010 Accounting Records  
3000.1020 Standard Financial and Statistical Records  
3000.1030 Annual and Special Audits and Other Reporting Requirements  
3000.1040 Accounting Controls Within the Cashier's Cage  
3000.1050 Procedures for Exchange of Checks Submitted by Gaming Patrons and Granting Credit  
3000.1060 Handling of Cash at Gaming Tables  
3000.1070 Tips or Gratuities  
3000.1071 Admission Tax and Wagering Tax  
3000.1072 Cash Reserve Requirements

SUBPART K: SEIZURE AND DISCIPLINARY HEARINGS

Section  
3000.1100 Coverage of Subpart

ILLINOIS REGISTER

---

ILLINOIS GAMING BOARD

NOTICE OF EMERGENCY AMENDMENT

3000.1105	Duty to Maintain Suitability
3000.1110	Board Action Against License or Licensee
3000.1115	Complaint
3000.1120	Appearances
3000.1125	Answer
3000.1126	Appointment of Hearing Officer
3000.1130	Discovery
3000.1135	Motions for Summary Disposition
3000.1139	Subpoena of Witnesses
3000.1140	Proceedings
3000.1145	Evidence
3000.1146	Prohibition of Ex Parte Communication
3000.1150	Sanctions and Penalties
3000.1155	Transmittal of Record and Recommendation to the Board

AUTHORITY: Implementing and authorized by the Riverboat Gambling Act [230 ILCS 10].

SOURCE: Emergency rule adopted at 15 Ill. Reg. 11252, effective August 5, 1991, for a maximum of 150 days; adopted at 15 Ill. Reg. 18263, effective December 10, 1991; amended at 16 Ill. Reg. 13310, effective August 17, 1992; amended at 17 Ill. Reg. 11510, effective July 9, 1993; amended at 20 Ill. Reg. 5814, effective April 9, 1996; amended at 20 Ill. Reg. 6280, effective April 22, 1996; emergency amendment at 20 Ill. Reg. 8051, effective June 3, 1996, for a maximum of 150 days; amended at 20 Ill. Reg. 14765, effective October 31, 1996; amended at 21 Ill. Reg. 4642, effective April 1, 1997; emergency amendment at 21 Ill. Reg. 14566, effective October 22, 1997, for a maximum of 150 days; emergency amendment at 22 Ill. Reg. 978, effective December 29, 1997, for a maximum of 150 days; amended at 22 Ill. Reg. 4390, effective February 20, 1998; amended at 22 Ill. Reg. 10449, effective May 27, 1998; amended at 22 Ill. Reg. 17324, effective September 21, 1998; amended at 22 Ill. Reg. 19541, effective October 23, 1998; emergency amendment at 23 Ill. Reg. 8191, effective July 2, 1999 for a maximum of 150 days; emergency expired November 28, 1999; amended at 23 Ill. Reg. 8996, effective August 2, 1999; amended at 24 Ill. Reg. 1037, effective January 10, 2000; amended at 25 Ill. Reg. 94, effective January 8, 2001; amended at 25 Ill. Reg. 13292, effective October 5, 2001; proposed amended at 26 Ill. Reg. 9307, effective June 14, 2002; emergency amendment adopted at 26 Ill. Reg. 10984, effective July 1, 2002, for a maximum of 150 days; adopted at 26 Ill. Reg. 15296, effective October 11, 2002; amended at 26 Ill. Reg. 17408, effective November 22, 2002; emergency amendment at 27 Ill. Reg. 10503, effective June 30, 2003, for a maximum of 150 days; amended at 27 Ill. Reg. 15793, effective September 25, 2003; amended at 27 Ill. Reg. 18595, effective November 25, 2003; amended at 28 Ill. Reg. 12824, effective August 31, 2004; amended at 31 Ill. Reg. 8098, effective June 14, 2007; amended at 32 Ill. Reg. 2967, effective February 15, 2008; amended at 32 Ill. Reg. 3275, effective February 19, 2008; amended

ILLINOIS REGISTER

---

ILLINOIS GAMING BOARD

NOTICE OF EMERGENCY AMENDMENT

at 32 Ill. Reg. 7357, effective April 28, 2008; amended at 32 Ill. Reg. 8592, effective May 29, 2008; amended at 32 Ill. Reg. 8931, effective June 4, 2008; amended at 32 Ill. Reg. 13200, effective July 22, 2008; amended at 32 Ill. Reg. 17418, effective October 23, 2008; amended at 32 Ill. Reg. 17759, effective October 28, 2008; amended at 32 Ill. Reg. 17946, effective November 5, 2008; amended at 34 Ill. Reg. 3285, effective February 26, 2010; amended at 34 Ill. Reg. 3748, effective March 11, 2010; amended at 34 Ill. Reg. 4768, effective March 16, 2010; amended at 34 Ill. Reg. 5200, effective March 24, 2010; amended at 34 Ill. Reg. 15386, effective September 23, 2010; amended at 36 Ill. Reg. 13199, effective July 31, 2012; amended at 37 Ill. Reg. 12050, effective July 9, 2013; amended at 37 Ill. Reg. 18255, effective November 1, 2013; amended at 38 Ill. Reg. 2808, effective January 8, 2014; amended at 38 Ill. Reg. 21471, effective October 29, 2014; amended at 39 Ill. Reg. 4362, effective March 10, 2015; amended at 39 Ill. Reg. 12312, effective August 18, 2015; amended at 40 Ill. Reg. 12776, effective August 19, 2016; amended at 41 Ill. Reg. 380, effective December 29, 2016; amended at 41 Ill. Reg. 12840, effective September 28, 2017; emergency amendment at 43 Ill. Reg. 9801, effective August 23, 2019, for a maximum of 150 days; emergency amendment at 43 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_, for a maximum of 150 days; amended at 43 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

SUBPART A: GENERAL PROVISIONS

**Section 3000.190 Ethical Conduct**  
**EMERGENCY**

- a) For the purposes of Section 5.3 (j) of the Act and this section, the following terms shall have the following meanings:
- 1) “Applicant”: Any person or entity which has directly or indirectly expressed interest to an official or employee of a host community in obtaining an owners license under the Act in that host community, regardless of whether that person or entity has submitted an application to the Board.
  - 2) “Communication”: Any written or oral communication, including with any agent, representative, or affiliate, or indirect communication through third parties or intermediaries, irrespective of whether such communication occurs in a public forum.
  - 3) “Employee”: Any person employed full-time, part-time, or pursuant to a contract and whose duties are subject to the direction and control of an

ILLINOIS REGISTER

---

ILLINOIS GAMING BOARD

NOTICE OF EMERGENCY AMENDMENT

employer with regard to the material details of how the work is to be performed.

4) “Local government”: Any unit of government wholly within the State of Illinois other than the State of Illinois.

5) “Official”: Any person or entity engaged in any of the following:

A) Elected or appointed official of the host community;

B) Any participant in a committee, group, team, or other organization acting at the behest of or in cooperation with the host community;  
or

C) Agent, contractor, or other delegate of the host community.

b) Disclosures made to the Board as required under Section 5.3 (j) of the Act and this section shall be in a manner consistent with Section 5.3 (j) of the Act and this section.

c) All applicants and licensees have a duty to report to the Administrator or any other Board agent designated by the Administrator any facts which the applicant or licensee has reasonable grounds to believe indicate a violation of Section 5.3 of the Act, including, but not limited to:

1) Violations by the applicant, licensee, or its own agents, employees or affiliates;

2) Violations by any other applicant, licensee, or their agents, employees or affiliates; or

3) Violations by any current or former official or employee of a corporate authority of a host community, or their spouse, child, or parent.

d) Any communication subject to disclosure under Section 5.3 (j) of the Act that occurred prior to the effective date of this section and after the effective date of Section 5.3 (j) shall be disclosed to the Board within 14 days after the effective date of this section.



ILLINOIS REGISTER

---

ILLINOIS GAMING BOARD

NOTICE OF EMERGENCY AMENDMENT

(Source: Added by emergency rulemaking at 43 Ill. Reg. \_\_\_\_\_, effective  
\_\_\_\_\_, for a maximum of 150 days)