

(230 ILCS 10/5.1) Section 5.1 Disclosure of Records

(a)

Licensee: The Rock Island Boatworks, Inc.

Notwithstanding any applicable statutory provision to the contrary, the Board shall, on written request from any person, provide information furnished by an applicant or licensee concerning the applicant or licensee, his products, services or gambling enterprises and his business holdings, as follows:

1. The name, business address and business telephone number of any applicant or licensee.

The Rock Island Boatworks, Inc. D.B.A. Jumer's Casino & Hotel
777 Jumer Drive
Rock Island, IL 61201
(309) 756-4600

2. An identification of any applicant or licensee including, if an applicant or licensee is not an individual, the names and addresses of all stockholders and directors, if the entity is a corporation; the names and addresses of all members, if the entity is a limited liability company; the names and addresses of all partners, both general and limited, if the entity is a partnership; and the names and addresses of all beneficiaries, if the entity is a trust. If an applicant or licensee has a pending registration statement filed with the Securities and Exchange Commission, only the names of those persons or entities holding interest of 5% or more must be provided.

The Rock Island Boatworks, Inc.
(An Illinois Corporation)

Directors & Officers:

Edward Brian Hansberry, Director
David S. Frankhouser, Director
Jeffrey M. Taips, Director, Vice President and Treasurer
Travis Hankins, President
Janice R. Trybus, Secretary

Please see flow chart showing the ownership of the Licensee.

3. An identification of any business, including, if applicable, the state of incorporation or registration, in which an applicant or licensee or an applicant's or licensee's spouse or children has an equity interest of more than 1%. If an applicant or licensee is a corporation, partnership or other business entity, the applicant or licensee shall identify any other corporation, partnership or business entity in which it has an equity interest of 1% or more, including, if applicable, the state of incorporation or registration. This information need not be provided by a corporation, partnership or other business entity that has a pending registration statement filed with the Securities and Exchange Commission.

Name of Entity: Rock Island Foodservice, Inc.
State of Incorporation: Illinois
Percentage of Ownership: 100%

4. Whether an applicant or licensee has been indicted, convicted, pleaded guilty or nolo contendere, or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor (except for traffic violations), including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition and the location and length of incarceration.

N/A

5. Whether an applicant or licensee has had any license or certificate issued by a licensing authority in Illinois or any other jurisdiction denied, restricted, suspended, revoked or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation or non-renewal, including the licensing authority, the date each such action was taken, and the reason for each such action.

N/A

6. Whether an applicant or licensee has ever filed or had filed against it a proceeding in bankruptcy or has ever been involved in any formal process to adjust, defer, suspend or otherwise work out the payment of any debt including the date of filing, the name and location of the court, the case and number of the disposition.

N/A

7. Whether an applicant or licensee has filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, State or local law, including the amount, type of tax, the taxing agency and time periods involved.

Applicant has not filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, state or local law, including the amount, type of tax, the taxing agency and time periods involved.

8. A statement listing the names and titles of all public officials or officers of any unit of government, and relatives of said public officials or officers who, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with, an applicant or licensee.

N/A

9. Whether an applicant or licensee has made, directly or indirectly, any political contribution, or any loans, donations or other payments, to any candidate or office holder, within 5 years from the date of filing the application, including the amount and the method of payment.

<u>Public Official – 2015</u>	<u>Method of Payment</u>	<u>Amount</u>
None		<u>\$ 0</u>
TOTAL		\$ 0

<u>Public Official – 2016</u>	<u>Method of Payment</u>	<u>Amount</u>
None		<u>\$ 0</u>
TOTAL		\$ 0
<u>Public Official – 2017</u>	<u>Method of Payment</u>	<u>Amount</u>
None		<u>\$ 0</u>
TOTAL		\$ 0
<u>Public Official – 2018</u>	<u>Method of Payment</u>	<u>Amount</u>
NYS Democratic Senate Campaign Committee	Check	\$5,000.00
TOTAL		\$5,000.00
<u>Public Official – 2019</u>	<u>Method of Payment</u>	<u>Amount</u>
None		<u>\$ 0</u>
TOTAL		\$ 0

10. The name and business telephone number of the counsel representing an applicant or licensee in matters before the Board.

**Terence M. Dunleavy, Esq.
55 W. Wacker Drive, Suite 1400
Chicago, Illinois 60601
(312) 849-2020**

11. A description of any proposed or approved riverboat gaming operation, including the type of boat, home dock, or casino or gaming location, expected economic benefit to the community, anticipated or actual number of employees, any statement from an applicant or licensee regarding compliance with federal and State affirmative action guidelines, projected or actual admissions and projected or actual adjusted gross gaming receipts.

Jumer's Casino & Hotel is located at the intersection of I-280 and IL Hwy 92 in Rock Island, Illinois. Situated on a 118 acre site, Jumer's Casino & Hotel consists of a 42,300 square foot gaming floor with 869 electronic gaming devices and 19 table games. The casino also has a high limit slot room and a live poker room with 6 tables.

In addition to gaming, adjacent to the casino floor is a nightclub.

The 205 room hotel includes 11 luxury suites, an indoor pool, fitness center, aqua driving range, patio with fire pit and a boardroom for meeting space rental.

There are four restaurants at Jumer's. A deli, buffet, casual dining and a casual steakhouse restaurant.

Parking for the facility is provided by a two level parking deck for 460 and surface level parking that can accommodate 1200 vehicles.

Jumer's Event Center is a multi-purpose facility that is used for entertainment offerings, meetings, conventions, wedding receptions and banquet events.

It is the policy of Jumer's Casino & Hotel to promote equal employment opportunities through an ongoing positive program of practices designed to ensure the full realization of equal employment opportunities without regard to race, color, religion, sex or national origin, while maintaining a diverse workforce and complying with all state and federal guidelines. To adhere to these policies, the Company is committed and will continue to:

- **Recruit, hire, train and promote persons in all job classifications without regard to race, color, religion, sex or national origin.**
- **Base decisions on employment so as to further the principle of equal employment opportunity.**
- **Ensure that all personnel actions including, but not limited to, compensation, benefits and company-sponsored training and education are administered without regard to race, color, religion, sex or national origin.**
- **Ensure that promotion decisions are in accord with principles of equal employment opportunity by imposing only valid requirements for promotion.**

We utilize many recruitment avenues and resources to ensure that we adhere to these policies. These include, but are not limited to: newspaper advertisements, internet postings, the Illinois Department of Employment Security, local colleges, recruitment firms, as well as we partner with local non-profit organizations. Examples of these organizations are: Veteran's Administration, Illinois Department of Human Services and Employment Security, World Relief, The Greater Hispanic Chamber of Commerce and Hola America. Positions are also posted within Ziprecruiter, CareerBuilder and Monster.com. We utilize an upgrade and purchased feature, which enables us to push our open positions out to diverse publications across the United States.

Currently, we employ 420 team members. We expect little fluctuation in this number over the next twelve months.

For year 2019, admissions were 849,376 a decrease of 52,062 from 2018.

A.G.R. for year 2019 was \$66,284,687 a decrease of \$1,877,033 compared to 2018.

12. A description of the product or service to be supplied by an applicant for a supplier's license.

N/A

Attachment to Section 5.1
Flow chart for Question 2

Ownership Structure of The Rock Island Boatworks, Inc.

