

Illinois Gambling Act

Section 5.1 Disclosure of Records **Licensee: Hawthorne Race Course, LLC**

Notwithstanding any applicable statutory provision to the contrary, the Board shall, on written request from any person, provide information furnished by an applicant or licensee concerning the applicant or licensee, his products, services or gambling enterprises and his business holdings, as follows:

1. The name, business address and business telephone number of any applicant or licensee.

Hawthorne Race Course, LLC
D.B.A. Hawthorne Casino & Race Course
3501 S Laramie Ave.
Stickney, IL 60804
(708) 780-3700

2. An identification of any applicant or licensee including, if an applicant or licensee is not an individual, the names and addresses of all stockholders and directors, if the entity is a corporation; the names and addresses of all members, if the entity is a limited liability company; the names and addresses of all the partners, both general and limited, if the entity is a partnership; and the names and addresses of all beneficiaries, if the entity is a trust. If the applicant or licensee has a pending registration statement filed with the Securities and Exchange Commission, only the names of those persons or entities holding interest of 5% or more must be provided.

Hawthorne Gaming and Entertainment Borrower, Inc.
3501 S Laramie Ave.
Stickney, IL 60804
(708) 780-3700

3. An identification of any business, including, if applicable, the state of incorporation or registration, in which an applicant or licensee or an applicant's or licensee's spouse or children has an equity interest of more than 1%. If an applicant or licensee is a corporation, partnership or other business entity, the applicant or licensee shall identify any other corporation, partnership or business entity in which it has an equity interest of 1% or more, including, if applicable, the state of incorporation or registration. This information need not be provided by a corporation, partnership or other business entity that has a pending registration statement filed with the Securities and Exchange Commission.

Name of Entity: Post Time Catering, Inc.
State of Incorporation: Illinois Percentage of
Ownership: 100%

4. Whether an applicant or licensee has been indicted, convicted, pleaded guilty or nolo contendere, or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor (except for traffic violations), including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition and the location and length of incarceration.

No.

5. Whether an applicant or licensee has had any license or certificate issued by a licensing authority in Illinois or any other jurisdiction denied, restricted, suspended, revoked or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation or non-renewal, including the licensing authority, the date each such action was taken, and the reason for each such action.

No.

6. Whether an applicant or licensee has ever filed or had filed against it a proceeding in bankruptcy or has ever been involved in any formal process to adjust, defer, suspend or otherwise work out the payment of any debt including the date of filing, the name and location of the court, the case and number of the disposition.

No.

7. Whether an applicant or licensee has filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, State or local law, including the amount, type of tax, the taxing agency and time periods involved.

No.

8. A statement listing the names and titles of all public officials or officers of any unit of government, and relatives of said public officials or officers who, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with, an applicant or licensee.

To the best of Applicant's knowledge, David A. Collins is a program representative for Illinois Department of Employment Security Claimant Service Center. Margaret A. Carey is an administrative law judge for the Social Security Administration.

9. Whether an applicant or licensee has made, directly or indirectly, any political contribution, or any loans, donations or other payments, to any candidate or office holder, within 5 years from the date of filing the application, including the amount and the method of payment.

Please see attached Exhibit "A". All contributions were made by check.

10. The name and business telephone number of the counsel representing an applicant or licensee in matters before the Board.

**Andrew Gilbert
Croke Fairchild Morgan & Beres LLC
180 N. LaSalle Street, Suite 2750
Chicago, IL 60601
(801)710-5047**

**Terence M. Dunleavy, Esq. O'Rourke, LLP
55 W. Wacker Drive, Suite 1400
Chicago, Illinois 60601
(312) 849-2020**

11. A description of any proposed or approved gambling operation, including the type of boat, home dock location, expected economic benefit to the community, anticipated or actual number of employees, any statement from an applicant or licensee regarding compliance with federal and State affirmative action guidelines, projected or actual admissions and projected or actual adjusted gross gaming receipts.

The Applicant, Hawthorne Race Course, Inc. (“Hawthorne”) is applying to the IGB for licensure as a Master Sports Wagering Licensee under the Illinois Sports Wagering Act. It proposes to conduct a full service sports operation in partnership with PointsBet Illinois LLC, an applicant for a Management Services Provider License, as permitted by the Illinois Sports Wagering Act. Pending the necessary IGB approvals, Hawthorne’s sports wagering activity will be conducted at its main facility and at three licensed inter-track wagering facilities and via a mobile application.

The proposed sports wagering operations at Hawthorne will be a best-in-class operation that accentuates customer service, integrity and excitement. Whether slot machine play, table games, sports betting, or horse race handicapping, customers at Hawthorne can expect concierge- style service with industry-leading rewards incentives and loyalty offerings. Hawthorne is renowned for offering superior customer service and loyalty rewards to horse handicappers and this philosophy will be applied to all aspects of our casino operation including the sports wagering operations.

The economic impact of a casino development including the sports wagering operations at Hawthorne will be far beyond the precedent established by other Illinois casinos. Hawthorne is uniquely positioned as a race track with connections to businesses throughout all of Illinois, and is also geographically situated in one of the most economically, and ethnically diverse areas of all existing Illinois gaming operations. From downstate farmers, to hyperlocal minority-owned businesses, a gaming operation at Hawthorne has the opportunity to create positive economic impact across myriad class of businesses all across the State.

Hawthorne estimates that the comprehensive casino development including the sports wagering operations at Hawthorne including the hotel and convention center will create 1200 new permanent jobs as well as nearly 1000 construction jobs. Tens of thousands of additional jobs across Illinois’ horseracing and agribusiness will be further supported -- and saved -- by this development.

It is the policy of Hawthorne to promote equal employment opportunities through an ongoing positive program of practices designed to ensure the full realization of equal employment opportunities without regard to race, color, religion, sex or national origin, while maintaining a diverse workforce and complying with all state and federal guidelines. To adhere to these policies, Hawthorne is committed and will continue to:

- **Recruit, hire, train and promote persons in all job classifications without regard to race, color, religion, sex or national origin.**
- **Base decisions on employment so as to further the principle of equal employment opportunity.**
- **Ensure that all personnel actions including, but not limited to, compensation, benefits and company-sponsored training and education are administered without regard to race, color, religion, sex or national origin.**
- **Ensure that promotion decisions are in accord with principles of equal employment opportunity by imposing only valid requirements for promotion.**

Hawthorne utilize many recruitment avenues and resources to ensure that we adhere to these policies. These include, but are not limited to: newspaper advertisements, internet postings, the Illinois Department of Employment Security, local colleges, recruitment firms, as well as we partner with local non-profit organizations. Examples of these organizations are: Veteran’s Administration, Illinois Department of Human Services and Employment Security, World Relief, The Greater Hispanic Chamber of Commerce and

Hola America. Positions are also posted within ZipRecruiter, CareerBuilder and Monster.com. We utilize an upgrade and purchased feature, which enables us to push our open positions out to diverse publications across the United States.

Hawthorne is dedicated to providing equal opportunity for employment and advancement to all qualified persons without regard to race, color, age, religion, sex, national origin, ancestry, physical or mental handicap unrelated to ability unfavorable from military service or creed. It is the intention of Hawthorne to comply with all orders from Federal, State and Local governmental agencies. Hawthorne submits to this plan to reaffirm continued commitment and to assure compliance with a program of equal employment opportunity and merit employment policies. This policy is applicable to the office staff as well as all job site locations in and around the casino and racetrack.

Hawthorne is located in one of the most ethnically diverse areas of Illinois. Prioritizing hyperlocal hiring and the recruitment and training of individuals from underserved communities, Hawthorne has a proven record of affirmative action hiring practices with sixty percent of Hawthorne's current workforce composed of minorities and females. Hawthorne currently employs more minority and female workers at all levels than any other racetrack or casino.

Hawthorne has engaged and partnered with an outside firm, Diversity Gaming Solutions, LLC to take action on Hawthorne's priority of Affirmative Action and to develop and implement a workforce and supplier diversity plan. "Success With Diversity," Hawthorne's workforce and supplier diversity plan has been created, being implemented and attached to this application. Hawthorne is committed to be an industry leader in workforce diversity, supplier diversity and affirmative action efforts.

Hawthorne expects that the full gaming operations including the sports wagering operations will generate annual gaming revenues of approximately \$287,000,000.00 and annual admissions of 2,100,000.00.

12. A description of the product or service to be supplied by an applicant for a supplier's license.

N.A.

**Exhibit "A" Political Contributions
All Contributions Made By Check**

Date	Amount	Recipient	Name etc.	Office
07/15/14	250.00	Citizens for Lisa Hernandez	Elizabeth "Lisa" Hernandez	State Representative
09/09/14	250.00	Citizens for Link	Terry Link	State Senator
09/16/14	500.00	Democratic Party of Illinois	-----	-----
09/17/14	500.00	Friends of Martin Sandoval	Martin Sandoval	State Senator
10/01/14	250.00	Committee to Elect Dan Burke	Dan Burke	State Representative
10/07/14	250.00	Friends of Mike Jacobs	Mike Jacobs	State Senator
10/21/14	500.00	Citizens for Judy Baar-Topinka	Judy Baar-Topinka	State Comptroller Candidate for Alderman, 43rd Ward
10/28/14	200.00	Friends of Jen Kramer Friends for State Rep Anthony	Jen Kramer	
10/30/14	250.00	De Luca	Anthony DeLuca	State Representative
12/08/14	250.00	Citizens for Lou Lang	Lou Lang	State Representative City of Chicago, 9th Ward
02/05/15	1,000.00	Citizens to Elect Anthony Beale	Anthony Beale	Alderman
06/04/15	500.00	House Republican Organization Republican State Senate	-----	-----
06/04/15	500.00	Campaign Comm.	-----	-----
06/24/15	500.00	Friends of Bob Rita	Robert Rita	State Representative
07/08/15	300.00	Friends of Lisa Hernandez	Lisa Hernandez	State Representative
08/26/15	250.00	Citizens for John Bradley	John Bradley	State Representative
08/26/15	250.00	Friends of Terry Link	Terry Link	State Senate
09/14/15	250.00	Friends of Martin Sandoval 12th Ward Regular Democratic	Martin Sandoval	State Senate
02/22/16	250.00	Org.	-----	-----
05/02/16	250.00	Citizens for Lou Lang	Lou Lang	State Representative
06/03/16	250.00	Friends of Susana Mendoza Friends for State Rep. Anthony	Susana Mendoza	City Clerk of Chicago
06/03/16	200.00	DeLuca	Anthony De Luca	State Representative
06/03/16	250.00	Citizens for Michael Hastings	Michael Hastings	State Senate
06/03/16	500.00	Citizens for Lisa Hernandez	Lisa Hernandez	State Representative
06/03/16	250.00	Jones for State Representative	Emil Jones	State Representative
06/03/16	200.00	Citizens for Al Riley	Al Riley	State Representative
06/22/16	500.00	Friends of Robert "Bob" Rita	Robert Rita	State Representative
06/27/16	500.00	House Republican Organization	-----	-----
07/08/15	300.00	Friends of Lisa Hernandez	Lisa Hernandez	State Representative
08/26/15	250.00	Citizens for John Bradley	John Bradley	State Representative
08/26/15	250.00	Friends of Terry Link	Terry Link	State Senate
09/14/15	250.00	Friends of Martin Sandoval 12th Ward Regular Democratic	Martin Sandoval	State Senate
02/22/16	250.00	Org.	-----	-----
05/02/16	250.00	Citizens for Lou Lang	Lou Lang	State Representative
06/03/16	250.00	Friends of Susana Mendoza Friends for State Rep. Anthony	Susana Mendoza	City Clerk of Chicago
06/03/16	200.00	DeLuca	Anthony De Luca	State Representative
06/03/16	250.00	Citizens for Michael Hastings	Michael Hastings	State Senate

06/03/16	500.00	Citizens for Lisa Hernandez	Lisa Hernandez	State Representative
06/03/16	250.00	Jones for State Representative	Emil Jones	State Representative
06/03/16	200.00	Citizens for Al Riley	Al Riley	State Representative
06/22/16	500.00	Friends of Robert "Bob" Rita	Robert Rita	State Representative
06/27/16	500.00	House Republican Organization	-----	-----
08/03/17	250.00	Citizens for Lou Lang	Lou Lang	State Representative
01/03/18	500.00	Andy Barr for Congress	Andy Barr	State Representative
03/01/18	250.00	Raoul for Illinois Committee to Elect Lawrence	Kwame Raoul	Candidate-Attorney General
05/10/18	500.00	Walsh	Lawrence Walsh	Will County Executive
05/10/18	250.00	Democratic Majority	-----	-----
06/08/18	250.00	House Republican Organization Republican State Senate	-----	-----
06/08/18	250.00	Campaign Comm.	-----	-----
09/06/19	500.00	Citizens for Durkin	James B. Durkin	State Representative
09/12/19	250.00	Celina Villanueva for State Representative	Celina Villanueva	State Representative
09/26/19	250.00	Friends of Terry Link	Terry Link	State Senate

07/21/20	500.00	Citizens for Elizabeth "Lisa" Hernandez	Elizabeth Hernandez	State Representative
08/05/20	250.00	Committee to Elect Jay Hoffman	Jay Hoffman	State Representative
09/03/20	2,500.00	Friends of Michael Madigan	Michael Madigan	State Representative
09/23/20	500.00	Friends of Robert "Bob" Rita	Robert Rita	State Representative
09/24/20	250.00	William Davis for State Representative	William Davis	State Representative
10/11/20	1,000.00	Citizens for Durkin	Jim Durkin	State Representative
01/12/21	250.00	Friends of Edgar Gonzalez Jr.	Edgar Gonzalez Jr.	State Representative
03/11/21	500.00	Elect Dan McConche	Dan McConche	State Senator