

**HOLLYWOOD CASINO JOLIET
RESPONSES TO RIVERBOAT GAMBLING ACT
SECTION 5.1(A) 1-12**

2019

SECTION 5.1(a)(1-12)

The following are the responses of HC Joliet, LLC to Section 5.1(a) (1-12) of the Riverboat Gambling Act:

1. The name, business address and business telephone number of the licensee:
 - A. Name: *HC Joliet, LLC*
 - B. Business address: *777 Hollywood Blvd., Joliet, Illinois 60436*
 - C. Business telephone number: *(815) 744-9400*

2. The identification of the state of incorporation, the corporate officers and the identity of all shareholders of the licensee:
 - A. State of Incorporation: *Illinois*
 - B. Corporate officers:
Peter M. Carlino - Chairman, Board of Directors
Timothy Wilmott - President, Chief Executive Officer
Jay Snowden – Executive Vice President, Chief Operating Officer
Lydia Garvey - V.P./General Manager
 - C. Identity of all shareholders:
Penn National Gaming, Inc.

3. The identification of any business, including, if applicable, the state of incorporation or registration, in which the licensee has an equity interest of more than 5%.

None.

4. Whether the licensee has been indicted, convicted, pleaded guilty or nolo contendere or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor (except for traffic violations), including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition and the location and length of incarceration.

None.

5. Whether the licensee has had any license or certificate issued by a licensing authority in Illinois or any other jurisdiction denied, restricted, suspended,

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revoked or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation or non-renewal, including the licensing authority, the date each such action was taken, and the reason for each such action.

None.

6. Whether the licensee has ever filed or had filed against it a proceeding in bankruptcy or has ever been involved in any formal process to adjust, defer, suspend or otherwise work out the payment of any debt including the date of filing, the name and location of the court, the case and number of the disposition.

None.

7. Whether the licensee has filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, State or local law, including the amount, type of tax, the taxing agency and time periods involved.

The Internal Revenue Service determined that Hollywood Casino Joliet was late on making the 945 withholding tax deposit. The period was for the period 1/1/17 through 12/31/17. A payment amount due was \$314.67; of which \$4.49 was interest charges. Hollywood Casino Joliet has since remitted the penalty and interest.

See the responses to Section 7 of HC Joliet, LLC's prior annual 5.1 disclosure of records for a description of any delinquencies or disputes for any previous years.

8. A statement listing the names and titles of all public officials or officers of any unit of government, and relatives of said public officials or officers who, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with, the licensee.

To the best of LICENSEE's knowledge, no public officials or officers or employees of any unit of government, or relatives of said public officials, officers or employees, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with LICENSEE, any of its key persons, or any individual or business entity having an interest in the operation.

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To the extent that any said public officials, officers or employees or their relatives would have a financial interest in Penn National Gaming Company and not disclose this fact to Penn National, Penn National would not be aware of this interest, unless such person qualified as a Key Person under the definition of the Act.

9. Whether the licensee has made, directly or indirectly, any political contribution, or any loans, donations or other payments, to any candidate or office holder, within 5 years from the date of filing the application, including the amount and the method of payment:

See attached Exhibit 9.

10. The name and business telephone number of the counsel representing the licensee in matters before the Board:

*Greenberg Traurig, LLP
77 West Wacker Drive
Suite 3100
Chicago, IL 60601
312-456-1011*

11. A description of the approved riverboat gaming operation, including (a) the type of boat, (b) home dock location, (c) expected economic benefit to the community, (d) anticipated or actual number of employees, (e) any statement from the licensee regarding compliance with federal and State affirmative action guidelines, (f) projected or actual admissions and (g) projected or actual adjusted gross gaming receipts:

- (a) *The riverboat gaming operation is located on a permanently moored barge of three levels that is approximately 340 feet long and 106 feet wide.*
- (b) *Licensee has its home dock in Joliet, Illinois.*
- (c) *In 2018, the Licensee paid \$6,862,296 in gaming revenue to the City of Joliet which was used for city operations. In addition, the Licensee spent \$2,354,206 with local vendors for capital improvements, goods, and services and an additional \$12,600,603 with other State of Illinois vendors. Licensee contributed over \$96,489.51 in cash and in-kind donations to local charities and non-profit organizations, including \$20,000 for scholarships to local colleges and universities. In 2018 Hollywood Casino also contributed \$30,000 to the Joliet/Will County Center for Economic Development (CED). The CED acts as the main contact point, facilitator and information clearinghouse for any business*

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looking to locate in the Joliet/Will county area. The CED actively markets the region to generate business leads and attract development dollars into the area. Hollywood's funding commitment to the CED is one of its single largest funding sources.

- (d) Licensee employed 511 individuals as of 12/31/18.*
- (e) Licensee is in compliance with federal and State affirmative action guidelines.*
- (f) Licensee had 957,117 patron admissions in 2018.*
- (g) Licensee adjusted gross gaming receipts gaming (gaming revenue) totaled \$118,059,563 in 2018.*

12. A description of the product or service to be supplied by an applicant for a supplier's license.

Not applicable.

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Exhibit 9

HOLLYWOOD CASINO JOLIET
2018 POLITICAL CONTRIBUTIONS

Paid by penn National Gaming, Inc.

<u>Name</u>	<u>Amount</u>
FRIENDS OF NATALIE MANLEY	(625.00)
Anderson for Illinois	250.00
Friends of Jaime M. Andrade, Jr.	250.00
Friends of Omar Aquino	250.00
Committee to Elect Jennifer Bertino-Tarrant	1,250.00
Friends of Monica Bristow	250.00
FRIENDS OF KELLY M. BURKE	250.00
Friends of Linda Chapa LaVia	1,250.00
CITIZENS FOR CONNELLY	250.00
Citizens to Elect John Connor	250.00
FRIENDS OF JERRY COSTELLO II	250.00
Citizens for John Cullerton	1,250.00
FRIENDS OF TOM CULLERTON	250.00
Firends of John Curran	250.00
William Davis for State Rep.	250.00
Team Demmer	250.00
Citizens for Durkin	1,250.00
Citizens for Marcus C Evans Jr.	250.00
Friends of Don Harmon	625.00
Citizens for Michael E. Hastings	625.00
Citizens for Linda Holmes	1,250.00
Friends of Toi Hutchinson	250.00
FRIENDS OF STEPHANIE KIFOWIT	1,250.00
Citizens for Lou Lang	250.00
Friends of Terry Link	625.00
Friends of Michael Madigan	1,250.00
FRIENDS OF NATALIE MANLEY	1,250.00
McGUIRE FOR SENATE	1,250.00
Friends for Marty Moylan	250.00
FRIENDS FOR MULROE	250.00
Citizens for Tony Munoz	1,250.00
CITIZENS FOR CHRIS NYBO	250.00
FRIENDS OF SUE REZIN	500.00
Friends of Robert "Bob" Rita	250.00
FRIENDS OF ELGIE SIMS JR.	375.00
Syverson for Senate	250.00
CITIZENS TO ELECT ANDRE' THAPEDI	250.00
FRIENDS OF ARTHUR TURNER II	1,250.00
FRIENDS FOR LARRY WALSH JR	1,250.00
CITIZENS TO ELECT GRANT WEHRLI	250.00
Committee to Elect Keith Wheeler	250.00
Zalewski for State Representative	250.00
Democratic Majority	1,250.00
Senate Democratic Victory Fund	1,250.00
House Republican Organization	1,250.00
Republican State Senate Campaign Committee	1,250.00

TOTAL

28,125.00