

(230 ILCS 10/5.1) Section 5.1 Disclosure of Records

(a)

Notwithstanding any applicable statutory provision to the contrary, the Board shall, on written request from any person, provide information furnished by an applicant or licensee concerning the applicant or licensee, his products, services or gambling enterprises and his business holdings, as follows:

1. The name, business address and business telephone number of any applicant or licensee.

*Alton Casino, LLC d/b/a Argosy Casino Alton
#1 Piassa Street
Alton, Illinois 62002
618-474-7407*

2. An identification of any applicant or licensee including, if an applicant or licensee is not an individual, the names and addresses of all stockholders and directors, if the entity is a corporation; the names and addresses of all members, if the entity is a limited liability company; the names and addresses of all partners, both general and limited, if the entity is a partnership; and the names and addresses of all beneficiaries, if the entity is a trust. If an applicant or licensee has a pending registration statement filed with the Securities and Exchange Commission, only the names of those persons or entities holding interest of 5% or more must be provided.

A. State of Incorporation: Illinois

B. Corporate Officers

- 1) *Jay Snowden, President, Secretary and Treasurer*
- 2) *Todd George, Vice President*
- 3) *Steven Peate, Vice President and General Manager*

C. Shareholders:

- 1) *Licensee is 100% owned by CRC Holdings, Inc., a Florida Corporation*

3. An identification of any business, including, if applicable, the state of incorporation or registration, in which an applicant or licensee or an applicant's or licensee's spouse or children has an equity interest of more than 1%. If an applicant or licensee is a corporation, partnership or other business entity, the applicant or licensee shall identify any other corporation, partnership or business entity in which it has an equity interest of 1% or more, including, if applicable, the state of incorporation or registration. This information need not be provided by a corporation, partnership or other business entity that has a pending registration statement filed with the Securities and Exchange Commission.

None.

4. Whether an applicant or licensee has been indicted, convicted, pleaded guilty or nolo contendere, or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor (except for traffic violations), including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition and the location and length of incarceration.

None.

5. Whether an applicant or licensee has had any license or certificate issued by a licensing authority in Illinois or any other jurisdiction denied, restricted, suspended, revoked or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation or non-renewal, including the licensing authority, the date each such action was taken, and the reason for each such action.

None.

6. Whether an applicant or licensee has ever filed or had filed against it a proceeding in bankruptcy or has ever been involved in any formal process to adjust, defer, suspend or otherwise work out the payment of any debt including the date of filing, the name and location of the court, the case and number of the disposition.

None.

7. Whether an applicant or licensee has filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, State or local law, including the amount, type of tax, the taxing agency and time periods involved.

We received a tax notice from the Illinois Department of Revenue dated June 25, 2020, regarding first quarter 2020 payroll taxes and a penalty for late payment of sales tax. The total amount due on the notice is \$14,666.92. We are currently working on resolving the issue.

8. A statement listing the names and titles of all public officials or officers of any unit of government, and relatives of said public officials or officers who, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with, an applicant or licensee.

To the best of LICENSEE's knowledge, no public officials or officers or employees of any unit of government, or relatives of said public officials, officers or employees, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest

in any contractual or service relationship with LICENSEE, any of its key persons, or any individual or business entity having an interest in the operation.

To the extent that any said public officials, officers or employees or their relatives would have a financial interest in Penn National Gaming, Inc. and not disclose this fact to Penn National Gaming, Inc., Penn National Gaming, Inc. would not be aware of this interest, unless such person qualified as a Key Person under the definition of the Act.

9. Whether an applicant or licensee has made, directly or indirectly, any political contribution, or any loans, donations or other payments, to any candidate or office holder, within 5 years from the date of filing the application, including the amount and the method of payment.

None

10. The name and business telephone number of the counsel representing an applicant or licensee in matters before the Board.

*Martha Sabol
Greenberg Traurig, LLP
77 West Wacker Drive, Suite 3100
Chicago, IL 60601*

11. A description of any proposed or approved gambling operation, including the type of boat, home dock, or casino or gaming location, expected economic benefit to the community, anticipated or actual number of employees, any statement from an applicant or licensee regarding compliance with federal and State affirmative action guidelines, projected or actual admissions and projected or actual adjusted gross gaming receipts.

Type of Boat: *Permanently Moored Craft*

Home Dock Location: *Alton, Illinois*

Expected Economic Benefit to Community: *See Economic Impact Statement*

Actual Number of Employees: *257 as of January 28, 2020*

Compliance with Federal and State Affirmative Action Guidelines: *See Ex. 22 of the Owner Licensee Disclosure Affidavit - Affirmative Action Plan.*

Actual Admissions: *2019: 379,381*

Actual Adjusted Gross Gaming Receipts: *2019: 38,963,711*

12. A description of the product or service to be supplied by an applicant for a supplier's license.

Not Applicable.