

(230 ILCS 10/5.1) Section 5.1 Disclosure of Records

(a)

Notwithstanding any applicable statutory provision to the contrary, the Board shall, on written request from any person, provide information furnished by an applicant or licensee concerning the applicant or licensee, his products, services or gambling enterprises and his business holdings, as follows:

1. The name, business address and business telephone number of any applicant or licensee.
2. An identification of any applicant or licensee including, if an applicant or licensee is not an individual, the names and addresses of all stockholders and directors, if the entity is a corporation; the names and addresses of all members, if the entity is a limited liability company; the names and addresses of all partners, both general and limited, if the entity is a partnership; and the names and addresses of all beneficiaries, if the entity is a trust. If an applicant or licensee has a pending registration statement filed with the Securities and Exchange Commission, only the names of those persons or entities holding interest of 5% or more must be provided.
3. An identification of any business, including, if applicable, the state of incorporation or registration, in which an applicant or licensee or an applicant's or licensee's spouse or children has an equity interest of more than 1%. If an applicant or licensee is a corporation, partnership or other business entity, the applicant or licensee shall identify any other corporation, partnership or business entity in which it has an equity interest of 1% or more, including, if applicable, the state of incorporation or registration. This information need not be provided by a corporation, partnership or other business entity that has a pending registration statement filed with the Securities and Exchange Commission.
4. Whether an applicant or licensee has been indicted, convicted, pleaded guilty or nolo contendere, or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor (except for traffic violations), including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition and the location and length of incarceration.
5. Whether an applicant or licensee has had any license or certificate issued by a licensing authority in Illinois or any other jurisdiction denied, restricted, suspended, revoked or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation or non-renewal, including the licensing authority, the date each such action was taken, and the reason for each such action.
6. Whether an applicant or licensee has ever filed or had filed against it a proceeding in bankruptcy or has ever been involved in any formal process to adjust, defer, suspend or otherwise work out the payment of any debt including the date of filing, the name and location of the court, the case and number of the disposition.
7. Whether an applicant or licensee has filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, State or local law, including the amount, type of tax, the taxing agency and time periods involved.

8. A statement listing the names and titles of all public officials or officers of any unit of government, and relatives of said public officials or officers who, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with, an applicant or licensee.
9. Whether an applicant or licensee has made, directly or indirectly, any political contribution, or any loans, donations or other payments, to any candidate or office holder, within 5 years from the date of filing the application, including the amount and the method of payment.
10. The name and business telephone number of the counsel representing an applicant or licensee in matters before the Board.
11. A description of any proposed or approved gambling operation, including the type of boat, home dock, or casino or gaming location, expected economic benefit to the community, anticipated or actual number of employees, any statement from an applicant or licensee regarding compliance with federal and State affirmative action guidelines, projected or actual admissions and projected or actual adjusted gross gaming receipts.
12. A description of the product or service to be supplied by an applicant for a supplier's license.

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(230 ILCS 10/5.1) Section 5.1 Disclosure of Records

Date: Sept 14, 2020

(a)

Notwithstanding any applicable statutory provision to the contrary, the Board shall, on written request from any person, provide information furnished by an applicant or licensee concerning the applicant or licensee, his products, services or gambling enterprises and his business holdings, as follows:

1. The name, business address and business telephone number of any applicant or licensee:
 - A. Name: *HC Aurora, LLC*
 - B. Business address: *49 W. Galena Blvd., Aurora, Illinois 60506*
 - C. Business telephone number: *(630) 801-1234*

2. An identification of any applicant or licensee including, if an applicant or licensee is not an individual, the names and addresses of all stockholders and directors, if the entity is a corporation; the names and addresses of all members, if the entity is a limited liability company; the names and addresses of all partners, both general and limited, if the entity is a partnership; and the names and addresses of all beneficiaries, if the entity is a trust. If an applicant or licensee has a pending registration statement filed with the Securities and Exchange Commission, only the names of those persons or entities holding interest of 5% or more must be provided.
 - A. State of Incorporation: *Illinois*
 - B. Corporate officers:

Jay Snowden – President, Secretary, Treasurer
110 Summer St., Hingham, MA 02043; 610-401-2037

Todd George – Vice President
70 Grandview Blvd., West Lawn, PA 19609; 610-401-2092

Greg Moore – Vice President and General Manager
1454 Frenchmans Bend Dr. Naperville, IL. 60564, 630-801-7239
 - C. Identity of all shareholders:

Penn National Gaming, Inc.

3. An identification of any business, including, if applicable, the state of incorporation or registration, in which an applicant or licensee or an applicant's or

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licensee's spouse or children has an equity interest of more than 1%. If an applicant or licensee is a corporation, partnership or other business entity, the applicant or licensee shall identify any other corporation, partnership or business entity in which it has an equity interest of 1% or more, including, if applicable, the state of incorporation or registration. This information need not be provided by a corporation, partnership or other business entity that has a pending registration statement filed with the Securities and Exchange Commission.

None.

4. Whether an applicant or licensee has been indicted, convicted, pleaded guilty or nolo contendere, or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor (except for traffic violations), including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition and the location and length of incarceration.

None.

5. Whether an applicant or licensee has had any license or certificate issued by a licensing authority in Illinois or any other jurisdiction denied, restricted, suspended, revoked or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation or non-renewal, including the licensing authority, the date each such action was taken, and the reason for each such action.

None.

6. Whether an applicant or licensee has ever filed or had filed against it a proceeding in bankruptcy or has ever been involved in any formal process to adjust, defer, suspend or otherwise work out the payment of any debt including the date of filing, the name and location of the court, the case and number of the disposition.

None.

7. Whether an applicant or licensee has filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, State or local law, including the amount, type of tax, the taxing agency and time periods involved..

See the responses to Section 7 of HC Aurora, LLC's prior annual 5.1 disclosure of records for a description of any delinquencies or disputes for any previous years.

8. A statement listing the names and titles of all public officials or officers of any unit of government, and relatives of said public officials or officers who, directly or

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indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with, an applicant or licensee.

To the best of LICENSEE's knowledge, no public officials or officers or employees of any unit of government, or relatives of said public officials, officers or employees, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with LICENSEE, any of its key persons, or any individual or business entity having an interest in the operation.

To the extent that any said public officials, officers or employees or their relatives would have a financial interest in Penn National Gaming Company and not disclose this fact to Penn National, Penn National would not be aware of this interest, unless such person qualified as a Key Person under the definition of the Act.

9. Whether an applicant or licensee has made, directly or indirectly, any political contribution, or any loans, donations or other payments, to any candidate or office holder, within 5 years from the date of filing the application, including the amount and the method of payment.

*See attached **Exhibit 9**.*

10. The name and business telephone number of the counsel representing the licensee in matters before the Board:

*Greenberg Traurig, LLP
77 West Wacker Drive
Suite 3100
Chicago, IL 60601
312-456-1011*

11. A description of any proposed or approved gambling operation, including the type of boat, home dock, or casino or gaming location, expected economic benefit to the community, anticipated or actual number of employees, any statement from an applicant or licensee regarding compliance with federal and State affirmative action guidelines, projected or actual admissions and projected or actual adjusted gross gaming receipts.

(a) *Hollywood Casino Aurora's gaming facility is a permanently moored, non-motorized barge attached to the pavilion which is located on land in downtown Aurora. The 53,000 square foot facility contains 41,000 square feet of gaming space on a single level. Aside from a beautiful art deco décor,*

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the casino features wide aisles, comfortable gaming areas, and 18-foot ceilings. The casino has 4 restaurants on premises: Fairbanks Steakhouse, Epic Buffet, Take 2 Deli and the VIP Lounge.

- (b) *Licensee has its home dock at 1 W. New York Street, Aurora, IL 60506 in downtown Aurora.*
- (c) *In 2019, the Licensee paid \$7,523,108 in total tax payments to the City of Aurora including wagering tax, admissions tax, property tax, sales and use tax and local sales tax. Licensee contributed over \$115,000 in cash and in-kind donations to local charities, community projects and non-profit organizations. Hollywood Casino Aurora is a Premier Member of the Aurora Regional Chamber of Commerce and General Manager Greg Moore is on the Board of Directors. The Aurora Regional Chamber of Commerce provides economic and community development for Aurora. Hollywood Casino Aurora employees regularly volunteer at the following local charitable organizations during casino-organized events: Northern Illinois Food Bank, Rebuilding Together Aurora, Aurora Ward 9 Clean Up the Streets, American Cancer Society Relay for Life.. Licensee had \$12,711,361 in total vendor expenditures with 61.6%, or \$7,833,898, going to Illinois vendors.*
- (d) *Licensee employed 464 individuals as of 12/31/19.*
- (e) *Licensee is in compliance with federal and State affirmative action guidelines. Licensee has a comprehensive Affirmative Action Plan and maintains a diverse workforce, with over 56% of workers being an ethnic minority.*
- (f) *Licensee had 904,713 patron admissions in 2019.*
- (g) *Licensee adjusted gross gaming receipts gaming (gaming revenue) totaled \$114,638,510 in 2019.*

12. A description of the product or service to be supplied by an applicant for a supplier's license.

Not applicable.

**HOLLYWOOD CASINO AURORA
SUMMARY OF POLITICAL CONTRIBUTIONS
FOR THE YEAR ENDING DECEMBER 31, 2019
PAID BY PENN NATIONAL GAMING, INC.**

RECIPIENT	TOTAL
TOTAL	0.00

**HOLLYWOOD CASINO AURORA
SUMMARY OF POLITICAL CONTRIBUTIONS
FOR THE YEAR ENDING DECEMBER 31, 2018
PAID BY PENN NATIONAL GAMING, INC.**

RECIPIENT	TOTAL
Neil Anderson - Anderson for Illinois	250.00
Jaime M Andrade - Friends of Jaime M Andrade Jr	250.00
Omar Aquino - Friends of Omar Aquino	250.00
Jennifer Bertino-Tarrant - Committee to Elect Jennifer Bertino-Tarrant	1,250.00
Monica Bristow - Friends of Monica Bristow	250.00
Kelly Burke - Friends of Kelly M. Burke	250.00
Linda Chapa LaVia - Friends of Linda Chapa LaVia	1,250.00
Michael Connelly - Citizens for Connelly	250.00
John Connor - Citizens to Elect John Connor	250.00
Jerry F. Costello - Friends of Jerry Costello II	250.00
John Cullerton - Citizens for John Cullerton	1,250.00
Tom Cullerton - Friends of Tom Cullerton	250.00
John Curran - Friends of John Curran	250.00
William Davis - William Davis for State Representative	250.00
Tom Denmer - Team Denmer	250.00
Jim Durkin - Citizens for Durkin	1,250.00
Marcus Evans - Citizens for Marcus C Evans Jr.	250.00
Don Harmon - Friends of Don Harmon	625.00
Michael Hastings - Citizens for Michael E Hastings	625.00
Linda Holmes - Citizens for Linda Holmes	1,250.00
Toi Hutchinson - Friends of Toi Hutchinson	250.00
Stephanie Kifowit - Friends of Stephanie Kifowit	1,250.00
Lou Lang - Citizens for Lou Lang	250.00
Terry Link - Friends of Terry Link	625.00
Michael J Madigan - Friends of Michael J Madigan	1,250.00
Natalie Manley - Friends of Natalie Manley	1,250.00
Pat McGuire - McGuire for Senate	1,250.00
Martin J Moylan - Friends for Marty Moylan for State Representative	250.00
John Mulroe - Friends for Mulroe	250.00
Tony Munoz - Citizens for Antonio "Tony" Munoz	1,250.00
Chris Nybo - Citizens for Chris Nybo	250.00
Sue Rezin - Friends of Sue Rezin	500.00
Robert "Bob" Rita - Friends of Robert "Bob" Rita	250.00
Elgie Sims Jr - Friends of Elgie Sims Jr	375.00
Dave Syverson - Syverson for Senate	250.00
Andre Thapedi - Citizens to Elect Andre Thapedi	250.00
Art Turner II - Friends of Arthur Turner II	1,250.00
Lawrence M Walsh Jr - Friends of Larry Walsh Jr	1,250.00
Grant Wehrli - Citizens to Elect Grant Wehrli	250.00
Keith Wheeler - Committee to Elect Keith Wheeler	250.00
Michael J. Zalewski - Zalewski for State Representative	250.00
Democratic Majority	1,250.00
Senate Democratic Victory Fund	1,250.00
House Republican Organization	1,250.00
Republican State Senate Campaign Committee	1,250.00
General Accrual	(625.00)
TOTAL	28,125.00

**HOLLYWOOD CASINO AURORA
SUMMARY OF POLITICAL CONTRIBUTIONS
FOR THE YEAR ENDING DECEMBER 31, 2017
PAID BY PENN NATIONAL GAMING, INC.**

RECIPIENT	TOTAL
Committee to Elect Jennifer Bertino-Tarrant	625.00
Committee to Elect Dan Burke	250.00
Friends of Linda Chapa LaVia	625.00
CITIZENS FOR CONNELLY	250.00
Citizens for John Cullerton	250.00
FRIENDS OF TOM CULLERTON	250.00
Citizens for Durkin	625.00
Friends of Don Harmon	625.00
Citizens for Michael E. Hastings	625.00
Citizens for Linda Holmes	625.00
Friends of Toi Hutchinson	250.00
FRIENDS OF STEPHANIE KIFOWIT	625.00
Citizens for Lou Lang	625.00
Friends of Terry Link	625.00
Friends of Michael Madigan	625.00
FRIENDS OF NATALIE MANLEY	625.00
CITIZENS FOR KAREN McCONNAUGHAY	250.00
McGUIRE FOR SENATE	1,250.00
FRIENDS FOR MULROE	250.00
Citizens for Tony Munoz	625.00
FRIENDS OF SUE REZIN	500.00
FRIENDS OF ELGIE SIMS JR.	250.00
CITIZENS TO ELECT ANDRE' THAPEDI	250.00
FRIENDS OF ARTHUR TURNER II	250.00
FRIENDS FOR LARRY WALSH JR	1,250.00
Committee to Elect Keith Wheeler	250.00
Democratic Majority	625.00
Senate Democratic Victory Fund	625.00
House Republican Organization	625.00
Republican State Senate Campaign Committee	625.00
TOTAL	15,750.00

**HOLLYWOOD CASINO AURORA
SUMMARY OF POLITICAL CONTRIBUTIONS
FOR THE YEAR ENDING DECEMBER 31, 2016**

RECIPIENT	TOTAL
Pamela Althoff - Citizens for Pamela J Althoff	250.00
Neil Anderson - Anderson for Illinois	250.00
Jaime M Andrade - Friends of Jaime M Andrade Jr	250.00
Omar Aquino - Friends of Omar Aquino	625.00
Mark Batinick - Friends of Mark Batinick	250.00
Jennifer Bertino-Tarrant - Committee to Elect Jennifer Bertino-Tarrant	1,250.00
Dan Biss - Biss for Illinois	250.00
Avery Bourne - Friends for Avery Bourne	250.00
Dan Burke - Committee to Elect Dan Burke	250.00
Melinda Bush - Friends of Melinda Bush	250.00
Linda Chapa LaVia - Friends of Linda Chapa LaVia	1,250.00
Michael Connelly - Citizens for Connelly	250.00
Jerry F Costello - Friends of Jerry Costello II	250.00
Fred Crespo - Citizens for Fred Crespo	250.00
John Cullerton - Citizens for John Cullerton	250.00
Tom Cullerton - Friends of Tom Cullerton	250.00
Jim Durkin - Citizens for Durkin	1,250.00
Don Harmon - Friends of Don Harmon	250.00
David Harris - Committee to Elect David Harris	250.00
Napolean Harris - Friends of Napolean Harris III	250.00
Michael Hastings - Citizens for Michael E Hastings	500.00
Linda Holmes - Citizens for Linda Holmes	1,250.00
Sheri Jesiel - Committee to Elect Sheri Jesiel	250.00
Stephanie Kifowit - Friends of Stephanie Kifowit	1,250.00
Lou Lang - Citizens for Lou Lang	625.00
Terry Link - Friends of Terry Link	625.00
Michael J Madigan - Friends of Michael J Madigan	1,250.00
Andy Manar - Friends of Andy Manar	500.00
Natalie Manley - Friends of Natalie Manley	1,250.00
Karen McConnaughay - Citizens for Karen McConnaughay	1,250.00
Margo McDermed - Vote for Margo McDermed for Illinois House	250.00
Pat McGuire - McGuire for Senate	1,250.00
Anna Moeller - Friends for Anna Moeller	250.00
Martin J Moylan - Friends for Marty Moylan for State Representative	250.00
John Mulroe - Friends for Mulroe	250.00
Tony Munoz - Citizens for Antonio "Tony" Munoz	1,250.00
Chris Nybo - Citizens for Chris Nybo	250.00
Brandon Phelps - Brandon Phelps for State Representative	250.00
Robert "Bob" Pritchard - Citizens for Pritchard	250.00
Christine Radogno - Citizens for Christine Radogno	250.00
Kwame Raoul - Friends of Kwame Raoul	625.00
Sue Rezin - Friends of Sue Rezin	500.00
Dale Righter - Citizens for Dale A Righter Illinois State Senate	250.00
Robert "Bob" Rita - Friends of Robert "Bob" Rita - Void 2015 Contribution	(333.34)
Robert "Bob" Rita - Friends of Robert "Bob" Rita	250.00
Ron Sandack - Citizens to Elect Ron Sandack	0.00
Elgie Sims Jr - Friends of Elgie Sims Jr	250.00
Dave Syverson - Syverson for Senate	250.00

Andre Thapedi - Citizens to Elect Andre Thapedi - Void 2015 Contribution	(333.34)
Andre Thapedi - Citizens to Elect Andre Thapedi	250.00
Art Turner II - Friends of Arthur Turner II	1,250.00
Lawrence M Walsh Jr - Friends of Larry Walsh Jr	1,250.00
Grant Wehrli - Citizens to Elect Grant Wehrli	250.00
Keith Wheeler - Committee to Elect Keith Wheeler	250.00
Democratic Majority	1,250.00
Senate Democratic Victory Fund	1,250.00
House Republican Organization	1,250.00
Republican State Senate Campaign Committee	1,250.00
General accrual	<u>0.00</u>
TOTAL	31,333.32

**HOLLYWOOD CASINO AURORA
SUMMARY OF POLITICAL CONTRIBUTIONS
FOR THE YEAR ENDING DECEMBER 31, 2015**

RECIPIENT	TOTAL
Citizens for Pamela J Althoff	333.33
Anderson for Illinois	333.34
Friends of Jaime M Andrade Jr	333.33
Friends of John D Anthony	333.33
Friends of Mark Batinick	333.34
Committee to Elect Jennifer Bertino-Tarrant	1,666.67
Biss for Illinois	333.33
Friends for Avery Bourne	333.33
Committee to Elect Dan Burke	333.34
Friends of Melinda Bush	333.33
Friends of Linda Chapa LaVia	1,666.67
Citizens for Connelly	333.33
Friends of Jerry Costello II	333.34
Citizens for Fred Crespo	333.33
Citizens for John Cullerton	333.33
Friends of Tom Cullerton	333.34
Citizens for Durkin	1,666.66
Friends of Don Harmon	333.33
Committee to Elect David Harris	333.33
Friends of Napoleon Harris III	333.34
Citizens for Michael E Hastings	333.33
Citizens for Linda Holmes	1,666.67
Committee to Elect Sheri Jesiel	333.33
Friends of Stephanie Kifowit	1,666.67
Friends of Terry Link	833.33
Friends of Michael J Madigan	1,666.66
Friends of Andy Manar	666.67
Friends of Natalie Manley	1,666.67
Citizens for Karen McConnaughay	1,666.67
Vote for Margo McDermed for Illinois House	333.34
McGuire for Senate	1,666.66
Friends for Anna Moeller	333.33
Friends for Marty Moylan for State Representative	333.33
Friends for Mulroe	333.34
Citizens for Antonio "Tony" Munoz	833.34
Citizens for Chris Nybo	333.33
Brandon Phelps for State Representative	333.33
Citizens for Pritchard	333.34
Citizens for Christine Radogno	333.33
Friends of Sue Rezin	666.66
Citizens for Dale A Righter Illinois State Senate	333.33
Friends of Robert "Bob" Rita	333.34
Citizens to Elect Ron Sandack	833.33
Friends of Elgie Sims Jr	333.33
Mike Smiddy for State Representative	833.33
Syverson for Senate	333.33
Citizens to Elect Andre Thapedi	333.34
Friends of Arthur Turner II	333.33

Friends for Verschoore	333.33
Friends for Larry Walsh Jr	1,666.67
Citizens to Elect Grant Wehrli	333.34
Committee to Elect Keith Wheeler	333.33
Democratic Majority	1,666.67
Senate Democratic Victory Fund	1,666.66
House Republican Organization	1,666.67
Republican State Senate Campaign Committee	1,666.67
IGMOA PAC	1,566.67
General accrual	<u>0.00</u>
TOTAL	41,566.67