

SECTION 5.1(a)(1-12)

The following are the responses of Hollywood Casino Joliet to Section 5.1(a)(1-12) of the Riverboat Gambling Act:

1. The name, business address and business telephone number of the licensee.
 - A. Name: *HC Joliet, LLC*
 - B. Business address: *777 Hollywood Blvd., Joliet, Illinois 60436*
 - C. Business telephone number: *(815) 744-9400*

2. The identification of the state of incorporation, the corporate officers and the identity of all shareholders of the licensee:
 - A. State of Incorporation: *Illinois*
 - B. Corporate officers:
Peter M. Carlino - Chairman, Board of Directors
Timothy Wilmott - President, Chief Executive Officer
Jay Snowden – Executive Vice President, Chief Operating Officer
Wayne Smith - V.P./General Manager
 - C. Identity of all shareholders:
Penn National Gaming, Inc.

3. The identification of any business, including, if applicable, the state of incorporation or registration, in which the licensee has an equity interest of more than 5%.

None.

4. Whether the licensee has been indicted, convicted, pleaded guilty or nolo contendere or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor (except for traffic violations), including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition and the location and length of incarceration.

None.

5. Whether the licensee has had any license or certificate issued by a licensing authority in Illinois or any other jurisdiction denied, restricted, suspended,

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revoked or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation or non-renewal, including the licensing authority, the date each such action was taken, and the reason for each such action.

None.

6. Whether the licensee has ever filed or had filed against it a proceeding in bankruptcy or has ever been involved in any formal process to adjust, defer, suspend or otherwise work out the payment of any debt including the date of filing, the name and location of the court, the case and number of the disposition.

None.

7. Whether the licensee has filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, State or local law, including the amount, type of tax, the taxing agency and time periods involved.

On August 26, 2013, the Licensee received notice from the Internal Revenue Service assessing a proposed penalty in the amount of \$12,700 related to the filing of forms 1099 and W2-G for tax period ending December 31, 2011. The Licensee disputed the fine on October 10, 2013. On July 8, 2014 the Licensee received notice from the Internal Revenue Service that they will not charge the penalty of \$12,700.

On March 24, 2014 the Licensee received notice from the Internal Revenue Service assessing a penalty of \$111,017.62 for Tax Form 945 for tax period 2013. On June 2, 2014, we received notice from the Internal Revenue Service that they applied our payments to the correct FEIN and the penalty and interest were abated.

On March 24, 2014 the Licensee received notice from the Internal Revenue Service assessing a penalty of \$328.17 for Tax Form 940 for tax period 2013. On May 9, 2014, we received notice from the Internal Revenue Service that they applied our payments to the correct FEIN and the penalty and interest were abated.

On April 21, 2014, the Licensee received notice from the Internal Revenue Service assessing a penalty of \$54.13 for Tax Form 1042 for tax period 2013. On July 11, 2014, we received notice from the Internal Revenue Service that they applied our payments to the correct FEIN and the penalty and interest were abated.

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On September 23, 2013, the Licensee received a notice from Illinois Department of Employment Security assessing a penalty of \$3,147.64 for untimely submittal of a UI 3/40 report for March 31, 2013. On January 3, 2014, ADP (Automatic Data Processing) accepted full responsibility for the penalty and remitted the penalty to IDES.

On June 13, 2014, the Licensee received notice from Illinois Department of Employment Security assessing a penalty of \$5,425.17 for untimely submittal of the monthly reports due to IDES. The Licensee paid the penalty on July 31, 2014.

On July 16, 2014, the Licensee remitted the Joliet Hotel/Motel Accommodations Quarterly Tax Return for quarter ending April, 2014 and also remitted a late filing penalty of \$781.30 and interest of \$156.26.

On January 5, 2015, we received a penalty notice from the Illinois Department of Revenue for a late payment penalty for a ST-1, Sales and Use Tax and E911 Surcharge Return. A letter was drafted to the Illinois Department of Revenue and the penalty is expected to be abated.

On February 23, 2015, the Licensee received notice from the Internal Revenue Service that they waived any federal tax deposit penalties for tax year 2013 due to our change in deposit requirements.

8. A statement listing the names and titles of all public officials or officers of any unit of government, and relatives of said public officials or officers who, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with, the licensee.

To the best of LICENSEE's knowledge, no public officials or officers or employees of any unit of government, or relatives of said public officials, officers or employees, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with LICENSEE, any of its key persons, or any individual or business entity having an interest in the operation.

To the extent that any said public officials, officers or employees or their relatives would have a financial interest in Penn National Gaming Company and not disclose this fact to Penn National, Penn National would not be aware of this interest, unless such person qualified as a Key Person under the definition of the Act.

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9. Whether the licensee has made, directly or indirectly, any political contribution, or any loans, donations or other payments, to any candidate or office holder, within 5 years from the date of filing the application, including the amount and the method of payment:

See attached Exhibit 9.

10. The name and business telephone number of the counsel representing the licensee in matters before the Board:

*Cezar Froelich
Shefsky & Froelich
111 East Wacker, Suite 2800
Chicago, IL 60601
312-836-4002*

11. A description of the approved riverboat gaming operation, including (a) the type of boat, (b) home dock location, (c) expected economic benefit to the community, (d) anticipated or actual number of employees, (e) any statement from the licensee regarding compliance with federal and State affirmative action guidelines, (f) projected or actual admissions and (g) projected or actual adjusted gross gaming receipts:

- (a) *The riverboat gaming operation is located on a permanently moored barge of three levels that is approximately 340 feet long and 106 feet wide.*
- (b) *Licensee has its home dock in Joliet, Illinois.*
- (c) *In 2014, the Licensee paid \$7,226,698 in gaming revenue to the City of Joliet which was used for city operations. In addition, the Licensee spent \$4,036,068 with local vendors for capital improvements, goods, and services. Licensee contributed over \$114,993 in cash and in-kind donations to local charities and non-profit organizations. In 2014 Hollywood Casino contributed \$50,000 to the Joliet/Will County Center for Economic Development (CED). The CED acts as the main contact point, facilitator and information clearinghouse for any business looking to locate in the Joliet/Will county area. The CED actively markets the region to generate business leads and attract development dollars into the area. Hollywood's funding commitment to the CED is one of its single largest funding sources.*
- (d) *Licensee has approximately 597 employees.*

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- (e) *Licensee is in compliance with federal and State affirmative action guidelines.*
- (f) *Licensee had 1,097,781 patron admissions in 2014.*
- (g) *Licensee adjusted gross gaming receipts gaming (gaming revenue) totaled \$122,578,335 in 2014.*

12. A description of the product or service to be supplied by an applicant for a supplier's license.

Not applicable.