

SECTION 5.1(a)(1-12)

The following are the responses of Hollywood Casino Aurora to Section 5.1(a) (1-12) of the Riverboat Gambling Act:

1. The name, business address and business telephone number of the licensee:
  - A. Name: *HC Aurora, LLC*
  - B. Business address: *49 W. Galena Blvd., Aurora, Illinois 60506*
  - C. Business telephone number: *(630) 801-1234*
  
2. The identification of the state of incorporation, the corporate officers and the identity of all shareholders of the licensee:
  - A. State of Incorporation: *Illinois*
  - B. Corporate officers:  
*Peter M. Carlino - Chairman, Board of Directors*  
*Timothy Wilmott - President, Chief Executive Officer*  
*Jay Snowden - Executive Vice President, Chief Operating Officer*  
*Gregory Moore - Vice President and General Manager*
  - C. Identity of all shareholders:  
*Penn National Gaming, Inc.*
  
3. The identification of any business, including, if applicable, the state of incorporation or registration, in which the licensee has an equity interest of more than 5%.  
  
*None.*
  
4. Whether the licensee has been indicted, convicted, pleaded guilty or nolo contendere or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor (except for traffic violations), including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition and the location and length of incarceration.  
  
*None.*
  
5. Whether the licensee has had any license or certificate issued by a licensing authority in Illinois or any other jurisdiction denied, restricted, suspended,

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revoked or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation or non-renewal, including the licensing authority, the date each such action was taken, and the reason for each such action.

*None.*

6. Whether the licensee has ever filed or had filed against it a proceeding in bankruptcy or has ever been involved in any formal process to adjust, defer, suspend or otherwise work out the payment of any debt including the date of filing, the name and location of the court, the case and number of the disposition.

*None.*

7. Whether the licensee has filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, State or local law, including the amount, type of tax, the taxing agency and time periods involved.

*None in 2017.*

*See the responses to Section 7 of HC Aurora, LLC's prior annual 5.1 disclosure of records for a description of any delinquencies or disputes for any previous years.*

8. A statement listing the names and titles of all public officials or officers of any unit of government, and relatives of said public officials or officers who, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with, the licensee.

*To the best of LICENSEE's knowledge, no public officials or officers or employees of any unit of government, or relatives of said public officials, officers or employees, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with LICENSEE, any of its key persons, or any individual or business entity having an interest in the operation.*

*To the extent that any said public officials, officers or employees or their relatives would have a financial interest in Penn National Gaming Company and not disclose this fact to Penn National, Penn National would not be aware of this*

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*interest, unless such person qualified as a Key Person under the definition of the Act.*

9. Whether the licensee has made, directly or indirectly, any political contribution, or any loans, donations or other payments, to any candidate or office holder, within 5 years from the date of filing the application, including the amount and the method of payment:

*See attached Exhibit 9.*

10. The name and business telephone number of the counsel representing the licensee in matters before the Board:

*Greenberg Traurig, LLP  
77 West Wacker Drive  
Suite 3100  
Chicago, IL 60601  
312-456-1011*

11. A description of the approved riverboat gaming operation, including (a) the type of boat, (b) home dock location, (c) expected economic benefit to the community, (d) anticipated or actual number of employees, (e) any statement from the licensee regarding compliance with federal and State affirmative action guidelines, (f) projected or actual admissions and (g) projected or actual adjusted gross gaming receipts:

- (a) *Hollywood Casino Aurora's gaming facility is a permanently moored, non-motorized barge attached to the pavilion which is located on land in downtown Aurora. The 53,000 square foot facility contains 41,000 square feet of gaming space on a single level. Aside from a beautiful art deco décor, the casino features wide aisles, comfortable gaming areas, and 18-foot ceilings.*
- (b) *Licensee has its home dock in Aurora, Illinois.*
- (c) *In 2017, the Licensee paid \$7,039,225 in gaming revenue to the City of Aurora which was used for city operations. Licensee contributed over \$96,000 in cash and in-kind donations to local charities and non-profit organizations.*
- (d) *Licensee averaged approximately 480 employees in 2017.*
- (e) *Licensee is in compliance with federal and State affirmative action guidelines.*

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*(f) Licensee had 991,579 patron admissions in 2017.*

*(g) Licensee adjusted gross gaming receipts gaming (gaming revenue) totaled \$120,811,129 in 2017.*

12. A description of the product or service to be supplied by an applicant for a supplier's license.

*Not applicable.*

HOLLYWOOD CASINO AURORA  
RESPONSE TO SECTION 5.1(a)  
Of The  
Riverboat Gambling Act

Exhibit 9

**HOLLYWOOD CASINO AURORA  
SUMMARY OF POLITICAL CONTRIBUTIONS  
FOR THE YEAR ENDING DECEMBER 31, 2017  
PAID BY PENN NATIONAL GAMING, INC.**

RECIPIENT	TOTAL
Andre Thapedi - Citizens to Elect Andre Thapedi - Void 2016 Contribution	(250.00)
Citizens for Pamela J Althoff	250.00
Committee to Elect Jennifer Bertino Tarrant	625.00
Committee to Elect Dan Burke	250.00
Friends of Linda Chapa LaVia	625.00
Citizens for Connelly	250.00
Citizens for John Cullerton	250.00
Friends of Tom Cullerton	250.00
Citizens for Durkin	625.00
Friends of Don Harmon	625.00
Citizens for Michael E Hastings	625.00
Citizens for Linda Holmes	625.00
Friends of Toi Hutchinson	250.00
Friends of Stephanie Kifowit	625.00
Citizens for Lou Lang	625.00
Friends of Terry Link	625.00
Friends of Michael J Madigan	625.00
Friends of Natalie Manley	625.00
Citizens for Karen McConnaughay	250.00
McGuire for Senate	1,250.00
Friends for Mulroe	250.00
Citizens for Antonio "Tony" Munoz	625.00
Friends for Sue Rezin	500.00
Friends of Elgie Sims Jr	250.00
Citizens to Elect Andre Thapedi	250.00
Friends of Arthur Turner II	250.00
Friends for Larry Walsh Jr	1,250.00
Committee to Elect Keith Wheeler	250.00
Democratic Majority	625.00
Senate Democratic Victory Fund	625.00
House Republican Organization	625.00
Republican State Senate Campaign Committee	625.00
<b>TOTAL</b>	<b>15,750.00</b>

**HOLLYWOOD CASINO AURORA  
SUMMARY OF POLITICAL CONTRIBUTIONS  
FOR THE YEAR ENDING DECEMBER 31, 2016  
PAID BY PENN NATIONAL GAMING, INC.**

RECIPIENT	TOTAL
Pamela Althoff - Citizens for Pamela J Althoff	250.00
Neil Anderson - Anderson for Illinois	250.00
Jaime M Andrade - Friends of Jaime M Andrade Jr	250.00
Omar Aquino - Friends of Omar Aquino	250.00
Mark Batinick - Friends of Mark Batinick	250.00
Jennifer Bertino-Tarrant - Committee to Elect Jennifer Bertino-Tarrant	1,250.00
Dan Biss - Biss for Illinois	250.00
Avery Bourne - Friends for Avery Bourne	250.00
Dan Burke - Committee to Elect Dan Burke	250.00
Melinda Bush - Friends of Melinda Bush	250.00
Linda Chapa LaVia - Friends of Linda Chapa LaVia	1,250.00
Michael Connelly - Citizens for Connelly	250.00
Jerry F Costello - Friends of Jerry Costello II	250.00
Fred Crespo - Citizens for Fred Crespo	250.00
John Cullerton - Citizens for John Cullerton	250.00
Tom Cullerton - Friends of Tom Cullerton	250.00
Jim Durkin - Citizens for Durkin	1,250.00
Don Harmon - Friends of Don Harmon	250.00
David Harris - Committee to Elect David Harris	250.00
Napoleon Harris - Friends of Napoleon Harris III	250.00
Michael Hastings - Citizens for Michael E Hastings	500.00
Linda Holmes - Citizens for Linda Holmes	1,250.00
Sheri Jesiel - Committee to Elect Sheri Jesiel	250.00
Stephanie Kifowit - Friends of Stephanie Kifowit	1,250.00
Lou Lang - Citizens for Lou Lang	625.00
Terry Link - Friends of Terry Link	625.00
Michael J Madigan - Friends of Michael J Madigan	1,250.00
Andy Manar - Friends of Andy Manar	500.00
Natalie Manley - Friends of Natalie Manley	1,250.00
Karen McConaughay - Citizens for Karen McConaughay	1,250.00
Margo McDermed - Vote for Margo McDermed for Illinois House	250.00
Pat McGuire - McGuire for Senate	250.00
Anna Moeller - Friends for Anna Moeller	1,250.00
Martin J Moylan - Friends for Marty Moylan for State Representative	250.00
John Mulroe - Friends for Mulroe	250.00
Tony Munoz - Citizens for Antonio "Tony" Munoz	250.00
Chris Nybo - Citizens for Chris Nybo	250.00
Brandon Phelps - Brandon Phelps for State Representative	250.00
Robert "Bob" Pritchard - Citizens for Pritchard	250.00
Christine Radogno - Citizens for Christine Radogno	250.00
Kwame Raoul - Friends of Kwame Raoul	625.00
Sue Rezin - Friends of Sue Rezin	500.00
Dale Righter - Citizens for Dale A Righter Illinois State Senate	250.00
Robert "Bob" Rita - Friends of Robert "Bob" Rita - Void 2015 Contribution	250.00
Robert "Bob" Rita - Friends of Robert "Bob" Rita	625.00
Ron Sandack - Citizens to Elect Ron Sandack	250.00
Elgie Sims Jr - Friends of Elgie Sims Jr	250.00
Dave Syverson - Syverson for Senate	250.00
Andre Thapedi - Citizens to Elect Andre Thapedi - Void 2015 Contribution	250.00
Andre Thapedi - Citizens to Elect Andre Thapedi	1,250.00
Art Turner II - Friends of Arthur Turner II	1,250.00
Lawrence M Walsh Jr - Friends of Larry Walsh Jr	250.00
Grant Wehrli - Citizens to Elect Grant Wehrli	250.00
Keith Wheeler - Committee to Elect Keith Wheeler	250.00
Democratic Majority	1,250.00
Senate Democratic Victory Fund	1,250.00
House Republican Organization	1,250.00
Republican State Senate Campaign Committee	1,250.00
General accrual	0.00
<b>TOTAL</b>	<b>32,000.00</b>

**HOLLYWOOD CASINO AURORA  
SUMMARY OF POLITICAL CONTRIBUTIONS  
FOR THE YEAR ENDING DECEMBER 31, 2015  
PAID BY PENN NATIONAL GAMING, INC.**

RECIPIENT	TOTAL
Citizens for Pamela J Althoff	333.33
Anderson for Illinois	333.34
Friends of Jaime M Andrade Jr	333.33
Friends of John D Anthony	333.33
Friends of Mark Batnick	333.34
Committee to Elect Jennifer Bertino-Tarrant	1,666.67
Biss for Illinois	333.33
Friends for Avery Bourne	333.33
Committee to Elect Dan Burke	333.34
Friends of Melinda Bush	333.33
Friends of Linda Chapa LaVia	1,666.67
Citizens for Connelly	333.33
Friends of Jerry Costello II	333.34
Citizens for Fred Crespo	333.33
Citizens for John Cullerton	333.33
Friends of Tom Cullerton	333.34
Citizens for Durkin	1,666.66
Friends of Don Harmon	333.33
Committee to Elect David Harris	333.33
Friends of Napoleon Harris III	333.34
Citizens for Michael E Hastings	333.33
Citizens for Linda Holmes	1,666.67
Committee to Elect Sheri Jesiel	333.33
Friends of Stephanie Kifowit	1,666.67
Friends of Terry Link	833.33
Friends of Michael J Madigan	1,666.66
Friends of Andy Manar	666.67
Friends of Natalie Manley	1,666.67
Citizens for Karen McConaughay	1,666.67
Vote for Margo McDermed for Illinois House	333.34
McGuire for Senate	1,666.66
Friends for Anna Moeller	333.33
Friends for Marty Moylan for State Representative	333.33
Friends for Mulroe	333.34
Citizens for Antonio "Tony" Murnoz	833.34
Citizens for Chris Nybo	333.33
Brandon Phelps for State Representative	333.33
Citizens for Pritchard	333.34
Citizens for Christine Radogno	333.33
Friends of Sue Rezin	333.33
Citizens for Dale A Righter Illinois State Senate	666.66
Friends of Robert "Bob" Rita	333.33
Citizens to Elect Ron Sandack	333.34
Friends of Elgje Sims Jr	833.33
Mike Smiddy for State Representative	333.33
Syverson for Senate	333.33
Citizens to Elect Andre Thapedi	333.34
Friends of Arthur Turner II	333.33
Friends for Verschoore	333.33
Friends for Larry Walsh Jr	1,666.67
Citizens to Elect Grant Wehrli	333.34
Committee to Elect Keith Wheeler	333.33
Democratic Majority	1,666.67
Senate Democratic Victory Fund	1,666.66
House Republican Organization	1,666.67
Republican State Senate Campaign Committee	1,666.67
IGMOA PAC	1,566.67
<b>TOTAL</b>	<b>41,566.67</b>



**HC AURORA, LLC**  
**2014 POLITICAL CONTRIBUTIONS**

Paid by Penn National Gaming, Inc.

Pamela Althoff (Citizens for)	333.33
John Anthony (Friends of)	333.33
Jennifer Bertino-Tarrant (Committee to Elect)	1,666.67
Dan Burke (Committee to Elect)	333.33
Melinda Bush (Friends of)	333.33
Linda Chapa LaVia (Friends of)	1,666.67
James Clayborne (Friends of)	333.33
Michael Connelly (Citizens for)	333.33
Jerry F Costello (Friends of)	333.33
Fred Crespo (Citizens for)	333.33
John Cullerton (Citizens for)	333.33
Tom Cullerton (Friends for)	333.33
Jim Durkin (Citizens for)	833.33
Don Harmon (Friends for)	333.33
David Harris (Committee to Elect)	333.33
Napolean Harris(Friends of)	333.33
Michael Hastings (Citizens for)	333.33
Linda Holmes (Citizens for)	1,666.67
Mike Jacobs (Friends for)	333.33
Stephanie Kifowit (Friends of)	1,666.67
Dan Kotowski (for State Senate)	333.33
Darin LaHood (Citizens for)	333.33
Andy Manar (Friends for)	333.33
Natalie Manley (Friends of)	1,666.67
Karen McConaughay (Citizens for)	1,666.67
Pat McGuire (for Senate)	1,666.67
Anna Moeller (Friends for)	333.33
Martin J Moylan (Friends for)	333.33
John Mulroe (Friends for)	333.33
Micheal Noland (Citizens for)	333.33
Chris Nybo (Citizens for)	333.33
Brandon Phelps (for State Representative)	333.33
Robert "Bob" Pritchard (Citizens for)	333.33
Christine Radogno (Citizens for)	333.33
Sue Rezin (Friends of)	333.33
Dale Righter (Citizens for)	333.33
Ron Sandack (Citizens to Elect)	333.33
Tim Schmitz (Friends of)- 2013 Void	(333.33)
Elgie Sims Jr (Friends of)	333.33
John Sullivan (Friends of)	333.33
Andre Thapedi (Citizens to Elect)	333.33
Art Turner II (Friends of)	333.33
Lawrence M Walsh Jr (Friends for)	1,666.67
Grant Wehrli (Citizens to Elect)	333.33
Pat Verschoore (Friends for)	333.33
Democratic Majority	833.33

**HOLLYWOOD CASINO AURORA  
SUMMARY OF POLITICAL CONTRIBUTIONS  
FOR THE YEAR ENDING DECEMBER 31, 2013  
PAID BY PENN NATIONAL GAMING, INC.**

<b>RECIPIENT</b>	<b>TOTAL</b>
Democratic Party of IL	1,667
Illinois Senate Democratic Victory Fund	1,667
House Republican Organization	1,667
Republican State Senate Campaign Committee	1,667
Citizens for Pamela Althoff	333
Committee to Elect Jennifer Bertino-Tarrant	1,667
Committee to Elect Dan Burke	333
Friends of Melinda Bush	333
Friends of Linda Chapa LaVia	1,667
Friends of Clayborne	333
Rep. Mike Connelly	333
Citizens for Fred Crespo	333
Senator John Cullerton	333
Friends of Tom Cullerton	333
Citizens for Kirk Dillar	333
Citizens for Jim Durkin	333
Friends of Don Harmon	333
Committee to Elect David Harris	333
Citizens for Linda Holmes	1,667
Friends of Mike Jacobs	333
Friends of Stephanie Kifowit	1,667
Friends for Renee Kosel	333
Citizens for Darin LaHood	333
Friends of Andy Manar	333
Friends of Natalie Manley	1,667
Citizens for Karen McConnaughay	1,667
McGuire for Senate	1,667
Friends for Marty Moylan	333
Friends for Mulroe	333
Citizens for Noland	333
Friends of Jim Oberweis	1,667
Brandon Phelps for State Representative	333
Citizens for Rep. Bob Pritchard	333
Citizens for Christine Radogno	333
Citizens for Dennis M. Reboletti	333
Friends of Sue Rezin	333
Citizens for Dale A Righter	333
Citizens to Elect Ron Sandack	333
Friends of Tim Schmitz	333
Committee to Elect Darlene Senger	333
Friends of Elgie Sims Jr	333
Friends of John Sullivan	333
Citizens to Elect Anre Thapedi	333
Friends of Arthur Turner II	333
Friends for Larry Walsh	1,667
Friends for Verschoore	333
Friends of Jerry Costello II	333
<b>TOTAL</b>	<b>33,000</b>