

# ILLINOIS GAMING BOARD

160 N. LaSalle Street, Suite 300  
Chicago, Illinois 60601-3103



## SPORTS WAGERING ACT SUPPLIER/TIER 2 OFFICIAL LEAGUE DATA PROVIDER LICENSE APPLICATION SHORT FORM

Applicant Name: \_\_\_\_\_

Doing Business As: \_\_\_\_\_  
(an assumed name must be registered with the Secretary of State)

This Form may be filed if the Applicant is currently a:

- Supplier licensed under the Illinois Gambling Act. License #: \_\_\_\_\_ Date Issued: \_\_\_\_\_
- Supplier License Applicant under the Illinois Gambling Act. Date of Submission: \_\_\_\_\_
- Supplier licensed under the Sports Wagering Act. License #: \_\_\_\_\_ Date Issued: \_\_\_\_\_
- Tier 2 Official League Data Provider licensed under the Sports Wagering Act.  
License #: \_\_\_\_\_ Date Issued: \_\_\_\_\_

The Applicant is applying for a Sports Wagering Act (*check all that apply*):

- Supplier License
- Tier 2 Official League Data Provider License

Address: \_\_\_\_\_

Telephone #: \_\_\_\_\_ Email: \_\_\_\_\_

FEIN/Identification #: \_\_\_\_\_ Illinois Business Tax # (if applicable): \_\_\_\_\_

Authorized Agent Name/Title: \_\_\_\_\_  
(please print name/title)

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Email: \_\_\_\_\_ Contact #: \_\_\_\_\_

Legal Rep: \_\_\_\_\_

Email: \_\_\_\_\_ Contact #: \_\_\_\_\_

**INSTRUCTIONS FOR SPORTS WAGERING ACT SUPPLIER/  
TIER 2 OFFICIAL LEAGUE DATA PROVIDER LICENSE APPLICATION  
SHORT FORM**

**WARNING**

**BY FILING THIS APPLICATION, YOU ACCEPT ANY RISK OF ADVERSE PUBLIC NOTICE, EMBARRASSMENT, CRITICISM, OR OTHER ACTION, OR FINANCIAL LOSS WHICH MAY RESULT FROM ACTION TAKEN OR NOT TAKEN WITH RESPECT TO THE LICENSE APPLICATION, AND EXPRESSLY WAIVE ANY CLAIM FOR DAMAGES AS A RESULT THEREOF. THE BOARD MAY REQUEST INFORMATION NOT REQUIRED IN THIS APPLICATION, OR IN ADDITION TO THAT PROVIDED IN RESPONSE TO THIS APPLICATION.**

Read the entire Application before responding to the questions.

This Application is an official document. You should respond to the questions contained herein to the Best of your Knowledge after substantial inquiry. Do not misstate or omit any material fact(s), as each statement made herein is subject to verification. **ANY misrepresentation, failure to reveal information, or omission, is grounds for denial of a license application or a finding of unsuitability requiring economic disassociation. “A person who knowingly makes a false statement on an application is guilty of a Class A misdemeanor.” 230 ILCS 10/1 *et seq.***

You are under a continuing duty to disclose promptly any changes in the information provided in this application and additional information and materials submitted to the Illinois Gaming Board. The duty to make such additional disclosures shall continue throughout any application period and period of licensure granted by the Illinois Gaming Board associated with this Sports Wagering Act Supplier License/Tier 2 Official League Data Provider License Application Short Form.

Answer all questions. If a question does not apply to you, so state with “N/A” on the Schedule of Exhibits. If the space provided for an answer to a question is insufficient, submit the additional information as a separate exhibit, and identify the question for which the supplement addresses. An authorized agent must initial each page, as provided in the top right hand corner. By placing such initials on each page, the Applicant attests to the accuracy and completeness of the information contained on that page.

The male pronoun when used herein shall include the masculine, feminine and neuter as well as the plural, as appropriate.

By filing this application, Applicant acknowledges and agrees that it is covered by, and shall comply with, all applicable statutory and regulatory provisions relating to its application which include all current and future statutory and regulatory provisions during their entire effective periods.

A. FORMS AND DOCUMENTS

Applicant must submit the following items in an electronic format to the Board:

1. Sports Wagering Act Supplier/Tier 2 Official League Data Provider License Application Short Form
2. Schedule of Exhibits
3. Applicant's Request to Release Information
4. Release of All Claims
5. Affidavit of Full Disclosure
6. Verification
7. 5.1 Disclosure of Records
8. \*Individual Key Person Personal Disclosure Form. Applicant must submit this Form for each of its Individual Key Persons. The Board may require Applicant to submit this Form for other Individuals.
9. \*Business Entity Form. Applicant must submit this Form for each of its Business Entity Key Persons. The Board may require Applicant to submit this Form for other Business Entities.
10. \*Trust Identification and Disclosure Form. Applicant must submit this Form for any trust that holds a direct or indirect interest in any gaming entity that is subject to regulation by a gaming jurisdiction for which they are a grantor, trustee or beneficiary, or for any other trust in which they have an interest, if so requested by the Board.
11. \*Institutional Investor Disclosure Form. This Form must be completed and submitted to the Illinois Gaming Board by Institutional Investors that individually or jointly with others cumulatively acquire 5% or more, but less than 25% of any class of voting securities of a publicly-traded entity licensed by the Illinois Gaming Board or its publicly-traded parent.

*\*If applicable, see Section 3.*

Submit two electronic copies of this form and other required documents to the address listed below. The electronic copies must be labeled with the Applicant's name, and tabbed/indexed so it is easy to maneuver from question to question and section to section.

Illinois Gaming Board  
Attn: Licensing Unit  
160 N. LaSalle St. Suite 300  
Chicago, IL 60601-3103

## B. APPLICATION AND LICENSE FEES

### Sports Wagering Act Supplier License:

Applicants shall pay to the Board a nonrefundable \$150,000 license and application fee. After the initial 4-year term, the Board shall renew Supplier licenses annually thereafter. The Board shall renew a Supplier license if the renewal applicant has continued to comply with all applicable statutory and regulatory requirements, upon submission of the Board-issued renewal forms and payment of the \$150,000 renewal fee.

### Tier 2 Official League Data Provider License:

The initial license fee for a Tier 2 Official League Data Provider license is payable to the Board at the end of the first year of licensure based on the amount of data sold to Master Sports Wagering licensees as official league data as follows:

- (1) for data sales up to and including \$500,000, the fee is \$30,000;
- (2) for data sales in excess of \$500,000 and up to and including \$750,000, the fee is \$60,000;
- (3) for data sales in excess of \$750,000 and up to and including \$1,000,000, the fee is \$125,000;
- (4) for data sales in excess of \$1,000,000 and up to and including \$1,500,000, the fee is \$250,000;
- (5) for data sales in excess of \$1,500,000 and up to and including \$2,000,000, the fee is \$375,000; and
- (6) for data sales in excess of \$2,000,000, the fee is \$500,000.

The license is valid for 3 years. The Tier 2 Official League Data provider licensee may renew the license for 3 years by paying a renewal fee to the Board based on the amount of data sold to Master Sports Wagering licensees as official league data in the immediately preceding year as provided in paragraphs (1) through (6).

(230 45/25-60(a))

## C. DEFINITIONS

For the purposes of this Application, the following terms shall have the following meanings:

**Abnormal Wagering Activity:** Wagering activity exhibited by patrons and deemed by the Master Sports Wagering Licensee or Management Services Provider Licensee as a potential indicator of suspicious activity. Abnormal Wagering Activity may include the size of a patron's wager or increased wagering volume on a particular event or wager type. Abnormal Wagering Activity may include a pattern of behavior by one or more patrons.

**Act:** The Sports Wagering Act, 230 ILCS 45/25 *et seq.*

**Adjusted Gross Sports Wagering Receipts:** means a Master Sports Wagering Licensee's gross Sports Wagering receipts, less winnings paid to wagerers in such games.

**Administrator:** The chief executive officer responsible for day-to-day operations of the Illinois Gaming Board.

**Affiliate:** An "affiliate of," "affiliated entity of," or person "affiliated with" another person shall mean a person that directly, or indirectly through one or more intermediaries, owns, controls, is controlled by, or is under common ownership or control with, the other person.

**Applicant:** A person applying for any License under the Act.

**Application:** All material, including the instructions, definitions, forms and other documents issued by the Illinois Gaming Board, or submitted to the Illinois Gaming Board by an Applicant.

**Athlete:** means any current or former professional athlete or collegiate athlete.

**Attributed Interest:** A direct or indirect interest in an enterprise deemed to be held by an Individual not through the Individual's actual holdings but either through the holdings of the Individual's relatives or through a third party or parties on behalf of the Individual pursuant to a plan, arrangement, agreement or contract.

**Best of Knowledge:** Applicant's knowledge after substantial inquiry.

**Board:** means the Illinois Gaming Board.

**Business Entity or Business:** A partnership, incorporated or unincorporated association or group, firm, corporation, limited liability company, partnership for shares, trust, sole proprietorship or other business enterprise.

**Cash Equivalents:** means all highly liquid investments with an original maturity of 3 months or less.

**Client or Client Software:** Any software or application installed on a patron's device for the purpose of interacting with an internet wagering system and conducting internet wagering.

**Collegiate Sport or Athletic Event:** An intercollegiate contest, event, or game at which two or more persons participate, conducted under the auspices of a Board recognized college Sports Governing Body.

**Control:** The possession, direct or indirect, of power to direct or cause the direction of the management and policies of an Applicant or Licensee through the ownership of voting securities, by contract or otherwise.

**Covered Persons:** includes athletes; umpires, referees, and officials; personnel associated with clubs, teams, leagues, and athletic associations; medical professionals, (including athletic trainers) who provide services to athletes, and players; and the family members and associates of these persons where required to serve the purposes of the Act.

**Department:** means the Department of the Lottery.

**Designated Gaming Area:** The portion of a facility not accessible to the public in which the actual operation of Sports Wagering occurs, including, but not limited to, the employee side of a sports betting window or counter, surveillance rooms, count rooms, or rooms containing wagering equipment other than publicly accessible and operational kiosks.

**Designee:** means a Master Sports Wagering Licensee under Section 25-30, 25-35, or 25-45 of the Act or a Management Services Provider Licensee.

**Entity:** A partnership, corporation, association, firm, limited liability company, partnership for shares, trust, sole proprietorship or other business enterprise.

**Gaming Facility:** means a facility at which gambling operations are conducted under the Illinois Gambling Act, pari-mutuel wagering is conducted under the Illinois Horse Racing Act of 1975, or Sports Wagering is conducted under the Act.

**Indirect Interest:** An interest in a Business Entity that is deemed to be held by the holder of a Master Sports Wagering, Management Services Provider, Supplier, or Tier 2 Official League Data Provider license not through the holder's actual holdings in the business entity but through the holder's holdings in other business entities.

**Institutional Investor:**

- A retirement fund administered by a public agency for the exclusive benefit of federal, state or local public employees;
- An investment company registered under section 8 of the Investment Company Act of 1940 (15 USC 80a-8);
- A collective investment trust organized by a bank under Part 9 of the Rules of the Comptroller of the Currency (12 CFR 9.18);
- A closed end investment trust registered with the United States Securities and Exchange Commission;
- A chartered or licensed life insurance company or property and casualty insurance company;
- A federal or state bank;
- An investment advisor registered under the Investment Advisors Act of 1940 (15 USC 80b-1 through 80b-21); or
- Such other person as the Illinois Gaming Board may determine for reasons consistent with the Act and this Part.

**Internet Wagering:** The conduct of Sports Wagering activities over the internet between a Master Sports Wagering Licensee and a patron, through use of an internet wagering system.

**Internet Wagering System:** All hardware, software, and communications, used by a Master Sports Wagering Licensee to offer Sports Wagering to patrons over the internet, including any client software.

**Key Person:** A person identified by the Board under Section 1900.530 as subject to regulatory approval as a person able to control or exercise significant influence over the management, assets, or operating policies of Master Sports Wagering, Management Services Provider, Supplier or Tier 2 Official League Data Provider Licensee.

**Kiosk:** Any kiosk, terminal, machine, or other device through which a patron may place or redeem an in person wager without requiring the involvement of an employee.

**License:** Authorization granted by the Board permitting a licensee to engage in the defined activities of Sports Wagering.

**Licensee:** A person that holds a license granted by the board pursuant to the Act.

**Management Services Provider Licensee:** A license issued to an entity who has contracted with a Master Sports Wagering Licensee to conduct its Sports Wagering Operation in accordance with the provisions of the Sports Wagering Act and the rules of the Illinois Gaming Board.

**Minor League:** Those events, contests, individuals, and entities affiliated with a Sports Governing Body which do not constitute the highest level of play or competition under that Sports Governing Body.

**Nominee:** Any individual or Business Entity that holds as owner of record the legal title to tangible or intangible personal or real property, including without limitation any stock, bond, debenture, note, investment contract or real estate on behalf of another individual or Business Entity, and as such is designated and authorized to act on his, her or its behalf with respect to the property.

**Occupational Licensee:** An individual who holds an Occupational license granted by the Board under the Act.

**Official League Data:** means statistics, results, outcomes, and other data related to a sports event obtained pursuant to an agreement with the relevant Sports Governing Body, or an entity expressly authorized by the Sports Governing Body to provide such information to licensees, that authorizes the use of such data for determining the outcome of Tier 2 Sports Wagers on such Sports Events.

**Official League Data Provider:** An individual, partnership, corporation or limited liability company that is licensed under the Act to provide Official League Data pursuant to an agreement with a relevant Sports Governing Body for determining the outcome of Tier 2 Sports Wagers.

**Organization Licensee:** has the meaning given to that term in the Illinois Horse Racing Act of 1975.

**Owners Licensee:** means the holder of an Owners license under the Illinois Gambling Act.

**Ownership Interest:** Includes, but is not limited to, direct, indirect, beneficial or attributed interest, or holder of stock options, convertible debt, warrants or stock appreciation rights, or holder of any beneficial ownership, or lease hold interest in a Business Entity.

**Person:** An individual, partnership, committee, association, corporation, or any other organization or group of persons.

**Personal Biometric Data:** means an athlete's information derived from DNA, heart rate, blood pressure, perspiration rate, internal or external body temperature, hormone levels, glucose levels, hydration levels, vitamin levels, bone density, muscle density, and sleep patterns.

**Problem Gambling:** A repetitive set of gaming behaviors that negatively impacts someone's life.

**Professional Sport or Athletic Event:** A contest, event, or game at which two or more persons participate and receive compensation in excess of actual expenses for their participation in such event.

**Prohibited Conduct:** includes any statement, action, and other communication intended to influence, manipulate, or control a betting outcome of a sporting contest or of any individual occurrence or performance in a sporting contest in exchange for financial gain or to avoid financial or physical harm. "Prohibited Conduct" includes statements, actions, and communications made to a covered person by a third party, such as a family member or through social media. "Prohibited Conduct" does not include statements, actions, or communications made or sanctioned by a team or Sports Governing Body.

**Qualified Applicant:** means an applicant for a license under this Act whose application meets the mandatory minimum qualification criteria as required by the Board.

**Redemption Kiosk:** Any kiosk, terminal, machine, or other device through which a patron may redeem an in person wager without requiring the involvement of an employee.

**Responsible Gaming:** All of the following:

- Policies for reducing harms related to gaming;
- Providing a transparent and fair game;
- Playing within time and money limits; and
- Gaming for entertainment and fun.

**Sole Proprietor:** An individual who in his or her own name owns 100% of the assets and who is solely liable for the debts of a business.

**Sporting Contest:** means a sports event or game on which the State allows Sports Wagering to occur under this Act.

**Sports Event:** means a professional sport or athletic event, a collegiate sport or athletic event, a motor race event, or any other event or competition of relative skill authorized by the Board under this Act.

**Sports Facility:** means a facility that hosts Sports Events and holds a seating capacity greater than 17,000 persons.

**Sports Governing Body:** means the organization that prescribes final rules and enforces codes of conduct with respect to a Sports Event and participants therein.

**Sports Wagering:** means accepting wagers on Sports Events or portions of Sports Events, or on the individual performance statistics of athletes in a Sports Event or combination of Sports Events, by any system or method of wagering, including, but not limited to, in person or over the Internet through websites and on mobile devices. “Sports Wagering” includes, but is not limited to, single-game bets, teaser bets, parlays, over-under, moneyline, pools, exchange wagering, in-game wagering, in-play bets, proposition bets, and straight bets.

**Sports Wagering Account:** means a financial record established by a Master Sports Wagering Licensee for an individual patron in which the patron may deposit and withdraw funds for Sports Wagering and other authorized purchases and to which the Master Sports Wagering licensee may credit winnings or other amounts due to that patron or authorized by that patron.

**Sports Wagering Activity:** Includes any of the following:

- Placing or accepting, or attempting to place or accept a Sports Wager;
- Redeeming or attempting to redeem a Sports Wager;
- The setting or changing of betting odds or lines; or
- The outcome of any events upon which wagers are placed.

**Sports Wagering Operation:** The conduct of authorized Sports Wagering under the Act and all related activities, including but not limited to: accepting wagers, redeeming wagers, accounting, security, surveillance, marketing, and advertising.

**Sports Wagering System:** Collectively, all hardware, software, communications technology, and sports wagering equipment used to conduct sports wagering activity.

**Supplier:** An individual, partnership, corporation or limited liability company that is licensed under the Act to sell or lease sports wagering equipment, systems, or other gaming items to conduct Sports Wagering and offer services related to the equipment or other gaming items and data to a Master Sports Wagering Licensee.

**Suspicious Wagering Activity:** Unusual betting activity which cannot be explained and may be indicative of match fixing, the manipulation of an event, misuse of inside information, money laundering or other prohibited or illegal activity.



**Theoretical Maximum Exposure:** means the maximum possible amount that a Master Sports Wagering Licensee may be required to pay out on accepted wagers that have been placed on events whose outcomes have not yet been determined.

**Tier 1 Sports Wager:** means a Sports Wager that is determined solely by the final score or final outcome of the sports event and is placed before the sports event has begun.

**Tier 2 Sports Wager:** means a Sports Wager that is not a Tier 1 Sports Wager.

**Wager:** means a sum of money or thing of value risked on an uncertain occurrence.

**Wagering Equipment:** A machine, mechanism, device, or implement which is integral to the operation of sports wagering, or which monitors, records, or determines the outcome of any wager, including without limitation: electronic, electrical, or mechanical devices for the making or recording of wagers; any system for displaying or determining wager information; any kiosk, terminal, or other device for the redemption of a wager; computer monitoring systems; and hardware and software related to any item described herein.

**Wagering Kiosk:** Any kiosk, terminal, machine, or other device through which a patron may place an in person wager without requiring the involvement of an employee.

**Winning Bidder:** means a qualified applicant for a Master Sports Wagering License chosen through the competitive selection process under Section 25-45 of the Act.

**SECTION 1 – APPLICANT INFORMATION**

- A) Submit a detailed statement identifying the Applicant’s Sports Wagering Products or Services: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Submit as **Exhibit 1(A)** a list of all Sports Wagering equipment and services the Applicant intends to sell, deliver, or offer to a Master Sports Wagering licensee. Provide details regarding all products and services listed.

- B) Provide the Applicant’s form lease agreement for tangible personal property as it applies to Sports Wagering.
- C) Provide Applicant’s form purchase agreement as it applies to Sports Wagering.
- D) Provide Applicant’s form service agreement as it applies to Sports Wagering.
- E) Is the Applicant currently licensed by any other jurisdiction to supply products or services related to Sports Wagering?  
 Yes  No If yes, provide a list of jurisdictions, including license numbers, dates of licensure, and types of products or services the Applicant is authorized to supply.
- F) If applying for a Tier 2 Official League Data Provider license, is the Applicant currently a vendor authorized by a Sports Governing Body or sports league, organization, or association, to distribute Tier 2 Official League Data.  Yes  No  
 If yes, provide details and complete Section 2(B) of this application.
- G) If applying for a Tier 2 Official League Data Provider license, describe in detail, the Applicant’s experience with providing Tier 2 Official League Data, and include a detailed summary regarding the proposed method of data distribution.
- H) Submit a current Table of Ownership and Control of the Applicant. The chart should illustrate all ownership percentages and include the fully diluted ownership interest of the Applicant. Also include the following:
- All Directors of the Applicant, and its Chief Executive Officer (CEO), Chief Operating Officer (COO), and Chief Financial Officer (CFO), or their functional equivalents.
  - Each Manager of an LLC.
  - For each Trust, disclose all Trustees and Beneficiaries.
- I) Provide a detailed summary regarding procurement goals for businesses owned by women, minorities, veterans, and persons with disabilities and small business enterprises, including an explanation of the plan to increase those goals (ILCS 230 45/25-85).
- J) Describe in detail any and all Responsible Gaming tools offered by applicant in relation to products the applicant intends to offer Illinois licensees.

### SECTION 2 – CONTRACTS

- A) Has the Applicant entered into any written contracts, written agreements or any oral agreements With an Illinois Gaming Board Applicant or Licensee to supply products or services to conduct Sports Wagering?  Yes  No If yes, provide details and complete the following for each Applicant or Licensee:

Name of Applicant/Licensee:		Assumed or D/B/A Name:	
Licensed by IGB		License Number/Type:	Date License Issued:
<input type="checkbox"/> Yes <input type="checkbox"/> No			
Address:		City/State/Zip:	
Description of equipment, systems and other gaming item(s):			
Contact Name:		Title:	
Email Address:		Phone Number:	

Submit as **Exhibit 2(A)** a copy of any contract, if applicable. If the Applicant has had oral discussions to enter into any contract, provide complete details.

- B) If the Applicant is applying for a Tier 2 Official League Data Provider license, has the Applicant entered into any written contracts, written agreements, or any oral agreements, With a Sports Governing Body or a sports league organization, or association, to distribute Tier 2 official league data?  Yes  No If yes, provide details and complete the following:

Name of Sports Governing Body, sports league, organization, or association		Assumed or D/B/A Name:	
Address:		City/State/Zip:	
Contact Name:		Title:	
Email Address:		Phone Number:	

Submit as **Exhibit 2(B)** a copy of any contract, if applicable. If the Applicant has had oral discussions to enter into any contract, provide complete details.

**SECTION 3 – OWNERSHIP AND CONTROL**

## A) Ownership Interest Information

If not already submitted to the IGB, provide the information requested below for each Person with Ownership Interest of 5% or greater in the Applicant. *For each Trust, disclose all Trustees and Beneficiaries and Institutional Investors that individually or jointly with others cumulatively hold 5% percent or more, but less than 25% of any class of voting securities of a publicly-traded entity.*

If additional space is needed, submit this information separately as **Exhibit 3(A)**. If the information has previously been submitted, identify where the information may be found.

Name:	Date of Birth:	Social Security Number /FEIN:	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female
Address:		City/State/Zip:	
Title:		% Ownership Interest:	
Email Address:		Phone Number:	
Individual/Business Entity/Trust has a current finding of suitability by the IGB: <input type="checkbox"/> Yes <input type="checkbox"/> No		Date of Approval:	
Individual/Business Entity/Trust/Institutional Investor has filed the related Disclosure Form with the IGB: <input type="checkbox"/> Yes <input type="checkbox"/> No If no, an Individual Key Person, Business Entity, Trust or Institutional Investor Form must be filed.		Date of Filing:	

## B) Control Information

If not previously submitted to the Illinois Gaming Board, provide the information requested below for:

- the Chief Financial Officer
- each Manager of the LLC.

If additional space is needed, submit this information separately as **Exhibit 3(B)**. If the information has previously been submitted, identify where the information may be found.

Name:	Date of Birth:	Social Security Number /FEIN:	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female
Address:		City/State/Zip:	
Title:		% Ownership Interest:	
Email Address:		Phone Number:	
Individual/Business Entity has a current finding of suitability by the IGB: <input type="checkbox"/> Yes <input type="checkbox"/> No		Date of Approval:	
Individual/Business Entity has filed a Key Person Form with the IGB: <input type="checkbox"/> Yes <input type="checkbox"/> No If no, an Individual Key Person, Business Entity, or Trust Form must be filed.		Date of Filing:	

**SPORTS WAGERING ACT  
 SUPPLIER/TIER 2 OFFICIAL LEAGUE DATA PROVIDER LICENSE  
 APPLICATION SHORT FORM  
 SCHEDULE OF EXHIBITS**

This Schedule relates to the Supplier/Tier 2 Official League Data Provider License Application Short Form of:

\_\_\_\_\_

Complete Name of Applicant

If an Exhibit is not applicable, indicate "N.A.".

Exhibit Number	Person who made or directed preparation of Exhibit (state which)	Official Title
1A		
1B		
1C		
1D		
1E		
1F		
1G		
1H		
1I		
1J		
2A		
2B		
3A		
3B		

**APPLICANT'S REQUEST TO RELEASE INFORMATION**

TO: \_\_\_\_\_

FROM: \_\_\_\_\_

(Applicant's Name)

1. Applicant hereby authorizes and requests all persons or entities to which this request is presented having information relating to or concerning Applicant to furnish such information to a duly appointed agent of the Illinois Gaming Board, whether or not such information would otherwise be protected from disclosure by any constitutional, statutory or other legal privilege.
2. Applicant hereby authorizes and requests all persons or entities to which this request is presented having documents relating to or concerning Applicant to permit a duly appointed agent of the Illinois Gaming Board to review and copy any such documents, whether or not such documents would otherwise be protected from disclosure by any constitutional, statutory or other legal privilege.
3. If the person or entity to whom this request is presented is a brokerage firm, bank, savings and loan, or other financial institution or an officer of same, Applicant hereby authorizes and requests that a duly appointed agent of the Illinois Gaming Board be permitted to review and obtain copies of any and all documents, records or correspondence pertaining to Applicant, including but not limited to past loan information, notes co-signed by Applicant, checking account records, savings deposit records, safe deposit box records, passbook records, and general ledger folio sheets.
4. Applicant hereby makes, constitutes, and appoints any duly appointed agent of the Illinois Gaming Board Applicant's true and lawful attorney in fact for Applicant in Applicant's name, place, stead, and on Applicant's behalf and for Applicant's use and benefit:
  - (a) to request, review, copy, sign for, or otherwise act for investigative purposes with respect to documents and information in the possession of the person or entity to whom this request is presented as Applicant might;
  - (b) to name the person or entity to whom this request is presented and insert that person's or entity's name in the appropriate location on this request;
  - (c) to place the name of the Illinois Gaming Board agent presenting this request in the appropriate location on this request.
5. Applicant grants to said attorney in fact full power and authority to do, take, and perform all and every act and thing whatsoever requisite, proper, or necessary to be done, in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as Applicant might or could do, with full power of substitution or revocation, hereby ratifying and confirming all that said attorney in fact, or his substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.
6. This power of attorney ends eighteen (18) months from the date of execution or at the termination of all licenses issued to Applicant by the Illinois Gaming Board, whichever occurs later.

- 7. Applicant has filed with the Illinois Gaming Board an "Application." Applicant understands that Applicant is seeking the granting of a privilege and acknowledges that the burden of proving Applicant's qualifications for a favorable determination is at all times on Applicant. Applicant accepts any risk of adverse public notice, embarrassment, criticism, or other action of financial loss which may result from action with respect to this Application.
- 8. Applicant does, for itself, its heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the person or entity to whom this request is presented, and his or its agents and employees from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which Applicant ever had, now has, may have, or claim to have against the person or entity to whom this request is presented or his or its agents or employees arising out of or by reason of complying with this request.
- 9. Applicant agrees to indemnify and hold harmless the person or entity to whom this request is presented and his or its agents and employees from and against all claims, damages, losses, and expenses, including reasonable attorneys' fees arising out of or by reason of complying with this request.
- 10. A reproduction of this request by photocopy shall be for all intents and purposes as valid as the original.

IN WITNESS WHEREOF, I have executed this request at \_\_\_\_\_, City

\_\_\_\_\_ on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.  
State

Applicant: \_\_\_\_\_

By: \_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
(Signature)

Its: \_\_\_\_\_  
(Title)

Signature of Illinois Gaming Board  
Agent presenting this request:

\_\_\_\_\_

Date: \_\_\_\_\_

SUBSCRIBED and SWORN to before me this

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

Notary Public in and for the

County of \_\_\_\_\_

State of \_\_\_\_\_

**RELEASE OF ALL CLAIMS**

The undersigned has filed with the Illinois Gaming Board ("Board") certain forms and documents in connection with a written request for licensing by the Board ("Application"). In consideration of the assurance by the Board that no vote on said Application will be taken except after a deliberate, intensive and thorough investigation of the undersigned, including but not limited to background, associates, and finances, the undersigned does for myself, my heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the State of Illinois, the Board, its members, agents, and employees, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known or unknown, in law or equity, which the undersigned ever had, now has, may have, or claim to have against any or all of said entities or individuals arising out of or by reason of the processing or investigation of or other action relating to the Application.

I, the duly authorized \_\_\_\_\_ of the undersigned, have read this affidavit and unders  
(Office)

understand its terms. On behalf of and in accordance with the instructions of the undersigned, I execute it with full knowledge that the undersigned will be bound hereby.

IN WITNESS WHEREOF, I have executed this release at \_\_\_\_\_,  
City

\_\_\_\_\_, on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.  
State

Applicant: \_\_\_\_\_

By: \_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
(Signature)

SUBSCRIBED and SWORN to before me this

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

Its: \_\_\_\_\_  
(Title)

\_\_\_\_\_  
Notary Public

Notary Public in and for the

County of \_\_\_\_\_

State of \_\_\_\_\_



**AFFIDAVIT OF FULL DISCLOSURE**

State of \_\_\_\_\_ )  
 ) ss  
County of \_\_\_\_\_ )

I, \_\_\_\_\_, being the duly authorized  
(Officer)  
\_\_\_\_\_ of \_\_\_\_\_, being  
(Office) (Name of Applicant)

first duly sworn upon oath or affirmation, depose and state:

that, except as reported in Applicant's Application ("Application"), Applicant has no agreements or understandings with any person or entity and no present intent to hold as agent, nominee or otherwise any interest in the Application;

that, except as reported in the Application, Applicant has no agreements or understandings with any person or entity and no present intent to pay any sums of money or give anything of value as, including but without limitation, a finder's fee or commission to any person or entity related to the acquisition of any interest in the Application;

that, except as reported in the Application, Applicant has no agreements or understandings and no present intent to pay any sums of money or give anything of value as, including but without limitation, a finder's fee or commission to any person or entity related to the sale of any interest in the Application;

that any funds used or to be used, and any liabilities incurred or to be incurred by Applicant in the acquisition of any interest in the Application were not provided to Applicant or made available to Applicant through the efforts of any person or entity not reported in the Application;

that, except as reported in the Application, no person or entity has provided collateral for or guaranteed payment of any loans made to Applicant which relate to the Application.

I, the duly authorized \_\_\_\_\_ of the undersigned,  
(Office)

have read this Affidavit of Full Disclosure and understand its terms. On behalf of and in accordance with the instructions of the undersigned, I execute it with full knowledge that the undersigned will be bound hereby.

SUBSCRIBED and SWORN to before me this

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

Notary Public in and for the  
County of \_\_\_\_\_  
State of \_\_\_\_\_

Applicant: \_\_\_\_\_  
(Applicant)

By: \_\_\_\_\_  
(Print Name)

Signature: \_\_\_\_\_

Its: \_\_\_\_\_  
(Title)

**VERIFICATION**

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ ) ss

I, \_\_\_\_\_, being the duly authorized  
(Officer)  
\_\_\_\_\_ of \_\_\_\_\_, being  
(Office) (Name of Applicant)

first duly sworn upon oath or affirmation depose and state:

1. On behalf of Applicant I submit this Application.
2. I swear (or affirm) and certify that the information contained in this application is true, complete and accurate to the best of my knowledge and belief.

Applicant: \_\_\_\_\_

By: \_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
(Signature)

Its: \_\_\_\_\_  
(Title)

SUBSCRIBED and SWORN to before me this  
\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

Notary Public in and for the  
County of \_\_\_\_\_  
State of \_\_\_\_\_